

# TABLE OF CONTENTS

<b>Chapter 1—Cold War Counterintelligence .....</b>	<b>1</b>
Introduction .....	3
Military Security .....	5
The Communist Party and Soviet Intelligence .....	20
Whittaker Chambers and Alger Hiss .....	28
Elizabeth Bentley .....	30
Indictment of Communists - January 1949 .....	31
Information Relating to Domestic Espionage, Sabotage, Subversive Activities and Related Matters .....	33
Klaus Fuchs .....	33
The Rosenbergs .....	34
The Rosenberg Spy Apparatus .....	38
Morton Sobell .....	38
Theodore Alvin Hall .....	40
Joel Barr and Al Sarrant .....	41
Other Spies in the Rosenberg Net .....	42
Max Elitcher .....	42
Harry Gold .....	44
William Perl .....	47
US Senator Joseph R. McCarthy .....	47
William August Fisher—“ Rudolph Ivanovich Abel” .....	49
Reino Hayhanen .....	51
The Case of the Substitute Colonel .....	55
Other Spies .....	57
Giuseppe E. Cascio .....	57
Jack Edward Dunlap .....	58
George H. French .....	58
Valentin Alekseyevich Gubichev .....	59
John P. Jones .....	60
Hans Kessler .....	60
Gustav Adolph Mueller .....	61
Joseph Sidney Petersen, Jr. ....	61
Roy Adair Rhodes .....	62
James Sattler .....	62
Otto Verber .....	62
Sybille Wambach .....	63
The Soble Spy Ring .....	64
Jacob Albam .....	64
Jack Soble .....	64
Myra Soble .....	64
Mr. and Mrs. George M. Zlatovski .....	65
Mr. and Mrs. Alfred K. Stern .....	65

Mark Zborowski .....	66
Defectors .....	66
Yuriy Aleksandrovich Rastvorov .....	66
Ivan Vasilyevich Ovchinnikov .....	67
Ismail Gusseyonovich Akhmedov .....	68
Anatoliy Mikhaylovich Granovskiy .....	69
Petr Sergeyeovich Deryabin .....	69
Grigoriy Stepanovich Burlutskiy .....	71
Cold War Counterintelligence Bibliography .....	72
Cold War Counterintelligence Chronology .....	74
Cold War Counterintelligence End Notes .....	425
<b>Chapter 2—Counterintelligence in the Turbulent 1960s and 1970s .....</b>	<b>83</b>
Introduction .....	85
Administratively Confidential .....	88
Memorandum for the Heads of Executive Departments and Agencies .....	88
US Double Agent Thwarts State Department Bugging .....	88
Military Surveillance .....	90
Central Intelligence Agency Testimony on Domestic Spying .....	94
The CIA, Authority and Background .....	94
Security and Counterintelligence .....	96
Activities Within the United States .....	97
Allegations and Some Details .....	99
CIA Relationships With Other Government Agencies .....	104
The Angleton Era in CIA .....	109
The Philby Connection .....	110
Angleton Named Chief of CI Staff .....	112
Anatoliy Golitsyn, Angleton’s Rasputin .....	112
The Nosenko-Golitsyn Debate .....	113
The Angleton Legacy and Deception .....	114
The Trust Operation and Its Impact on the CI Staff’s View of Deception .....	114
The Monster Plan vs. The Master Plan .....	115
The Loginov Affair .....	115
The Cold Warrior .....	116
FBI Counterintelligence Programs .....	117
Counterintelligence Program—Background Material .....	117
Central Intelligence Agency Mail Openings .....	121
Inspector General’s Survey of the Office of Security Annex II .....	121
Project SGPOINTER/HTLINGUAL .....	121
FBI Mail Opening .....	125
Introduction and Major Facts .....	125
Description of FBI Mail Opening Programs .....	128
Nature and Value of the Product .....	133
Internal Authorization and Controls .....	137

External Authorizations .....	139
Termination of the FBI Mail Opening Programs .....	145
Legal and Security Considerations Within the FBI .....	147
Warrantless National Security Electronic Surveillance .....	150
Agreement Governing the Conduct of Defense Department Counterintelligence Activities in Conjunction With the Federal Bureau of Investigation .....	158
SECTION 1 Purpose .....	158
SECTION 2 Defense Components Authorized to Conduct Counterintelligence Activities .....	159
SECTION 3 Federal Bureau of Investigation Coordination with the Department of Defense .....	159
SECTION 4 Definitions .....	159
SECTION 5 Policy .....	159
SECTION 6 Delineation of Responsibility for Counterintelligence Investigations .....	160
SECTION 7 Coordination of Counterintelligence Operations .....	161
SECTION 8 Implementation .....	161
Executive Order No. 12139, Exercise of Certain Authority Respecting Electronic Surveillance .....	161
Congressional Committees and Executive Commissions 1934-75 .....	162
Special Committee To Investigate Un-American Activities .....	162
Special House Committee for the Investigation of Un-American Activities .....	163
Commission on the Organization of the Executive Branch of the Government .....	163
The Doolittle Review .....	164
The Rockefeller Commission .....	164
Select Committee to Study Government Operations With Respect to Intelligence Activities .....	165
The House Select Committee on Intelligence .....	166
In the United States District Court For the District of Maryland-David Henry Barnett .....	166
Operation Lemonaid .....	176
Other Spies .....	177
Joseph B. Attardi .....	177
Herbert W. Boeckenhaupt .....	177
Harold N. Borger .....	179
Christopher J. Boyce and Andrew Dalton Lee .....	179
John William Butenko .....	180
Morris and Lona Cohen a.k.a. Peter and Helen Kroger .....	181
Raymond George DeChamplain .....	181
Nelson Cornelious Drummond .....	183
George John Gessner .....	184
Oliver Everett Grunden .....	184
Robert Lee Johnson .....	185
William Kampiles .....	186
Joseph Patrick Kauffman .....	186
Erich Englehardt and Karl Heinz Kiefer .....	187
Kurt Kuehn .....	187
Joseph Werner Leben .....	187
Gary Lee Ledbetter .....	188
Lee Eugene Madsen .....	188

Edwin G. Moore II .....	189
Walter T. Perkins .....	189
Leonard Jenkins Safford and Ulysses L. Harris .....	189
Irvin C. Scarbeck .....	190
Robert Glenn Thompson .....	190
William Henry Whalen .....	191
Defectors .....	192
Michal Goleniewski .....	192
Frantisek August .....	193
Ladislav Bittman .....	194
Joseph Frolik .....	194
Vaclav Marous .....	194
Yuriy Vasilyevich Krotkov .....	195
Aleksandr Nikolayevich Cherepanov .....	195
Rupert Sigl .....	196
Yuriy Ivanovich Nosenko .....	196
Olga Aleksandrovna Farmakovskaya .....	197
Oleg Vladimirovich Penkovskiy .....	199
Defection of Bernon F. Mitchell and William H. Martin .....	200
CI in the Turbulent 1960s and 1970s: Bibliography .....	202
CI in the Turbulent 1960s and 1970s: Chronology .....	205
CI in the Turbulent 1960s and 1970s: End Notes .....	429
<b>Chapter 3—Decade of the Spy .....</b>	<b>215</b>
Introduction .....	217
Executive Order 12333 .....	219
National Security Decision Directive Number 84 – 11 March, 1983 .....	231
The Walker Spy Ring .....	233
Jerry Alfred Whitworth .....	234
Arthur James Walker .....	235
Michael Lance Walker .....	236
Meeting the Espionage Challenge: Review of US Counterintelligence and Security Programs .....	236
Operation Station Zebra .....	251
Spies .....	253
Michael Hahn Allen .....	253
Stephen Anthony Baba .....	253
William Holden Bell .....	254
Edward Owen Buchanan .....	254
Thomas Patrick Cavanagh .....	255
Larry Wu-Tai Chin .....	257
Clyde Lee Conrad .....	257
Christopher M. Cooke .....	258
Robert Ernest Cordrey .....	260

John Allen Davies .....	260
Sahag K. Dedeyan .....	260
Hou Desheng .....	261
Thomas Joseph Dolce .....	261
Waldo H. Dubberstein .....	261
Robert Wade Ellis .....	261
David Fleming .....	262
Ernst Forbrich .....	262
Wilfredo Garcia .....	262
Otto Attila Gilbert .....	263
Robert Dean Haguewood .....	263
James Hall III .....	263
James Durward Harper, Jr. and Ruby Louise Schuler .....	264
Stephen Dwayne Hawkins .....	265
Joseph George Helmich .....	265
Rudolph Albert Herrmann .....	267
Brian Patrick Horton .....	267
Edward Lee Howard .....	268
Ronald Louis Humphrey .....	269
Vladimir Izmaylov .....	269
Randy Miles Jeffries .....	269
Mikhail Katkov .....	270
Bruce Leland Kearn .....	270
Karl F. Koecher .....	270
Penyu B. Kostadinov .....	270
Craig Dee Kunkle .....	271
Yuriy P. Leonov .....	271
Clayton John Lonetree .....	271
John Raymond Maynard .....	272
Alice Michelson .....	272
Richard Miller .....	272
Francisco de Asis Mira .....	273
Samuel Loring Morison .....	274
Tommaso Mortati .....	274
Michael R. Murphy .....	275
Frank Arnold Nesbitt .....	275
Bruce Damian Ott .....	275
Yuriy N. Pakhtusov .....	276
Leslie J. Payne .....	276
Ronald William Pelton .....	276
Michael A. Peri .....	277
Jeffrey Loring Pickering .....	278
Jonathan Jay Pollard .....	278
Daniel Walter Richardson .....	280

Ivan N. Rogalsky .....	280
Sharon Marie Scranage .....	280
Brian Everett Slavens .....	281
Glenn Michael Souther .....	281
Michael Timothy Tobias .....	282
Arne Treholt .....	283
Douglas Tsou .....	283
James R. Wilmoth and Russell Paul Brown .....	283
Edward Hilledon Wine .....	284
Hans Palmer Wold .....	284
Ronald Craig Wolf .....	285
Jay Clyde Wolff .....	285
James D. Wood .....	285
Gennadiy F. Zakharov .....	286
Alfred Zehe .....	286
Defectors .....	287
Artush Sergeyeovich Oganessian .....	287
Nikolay Grigoryevich Petrov .....	287
Decade of the Spy: Bibliography .....	290
Decade of the Spy: Chronology .....	292
<b>Chapter 4—Counterintelligence at the End of the 20th Century .....</b>	<b>299</b>
Introduction .....	301
The Jacobs Panel .....	303
The White House Executive Order 12863 .....	304
United States of America v. Aldrich Hazen Ames .....	306
Central Intelligence Agency .....	311
The Ames Notebook .....	313
Statement of the Director of Central Intelligence on the Clandestine Services and the Damage Caused by Aldrich Ames .....	314
The Actual Damage .....	315
Conclusions .....	319
Unclassified Abstract of the CIA Inspector Generals Report on the Aldrich H. Ames Case .....	320
Preface to the Report from the IG .....	320
Summary .....	322
The White House Office of the Press Secretary May 3, 1994 .....	334
Fact Sheet Counterintelligence Effectiveness .....	335
National Counterintelligence Policy Coordination .....	335
Counterintelligence Integration and Cooperation .....	336
Preparing for the 21st Century: An Appraisal of U.S. Intelligence .....	337
Background .....	337
The Goal of the Report .....	337
The Commission’s View of Counterintelligence .....	337

Three Overarching Themes .....	337
Summary of the Commission’s Key Recommendations .....	338
Robert Chaegon Kim .....	341
Robert Stephan Lipka .....	347
UNITED STATES OF AMERICA V. ROBERT STEPHAN LIPKA, A/K/A “ROOK” .....	347
Phillip Tyler Seldon .....	350
UNITED STATES OF AMERICA V. PHILLIP TYLER SELDON .....	351
The Nicholson Chronology .....	353
Harold J. Nicholson .....	354
Background .....	355
The Investigation–Polygraphs .....	356
Pitts Affidavit .....	363
AFFIDAVIT IN SUPPORT OF CRIMINAL COMPLAINT, ARREST WARRANT, AND SEARCH WARRANTS .....	364
Summary .....	365
Background on Earl Edwin Pitts .....	366
Russian Commentary on Pitts’ Arrest .....	389
Economic Espionage Act of 1996 .....	390
Cold War Espionage in Germany .....	393
Department of Defense Directive <i>May 22, 1997</i> .....	399
Spies .....	406
Charles Lee Francis Anzalone .....	406
Joseph Garfield Brown and Virginia Jean Baynes .....	407
Jeffrey M. Carney .....	407
Mark Goldberg .....	407
Douglas Frederick Groat .....	408
Jeff E. Gregory .....	409
Frederick Christopher Hamilton .....	410
Geneva Jones and Dominic Ntube .....	410
Peter H. Lee .....	410
Kurt G. Lessenthien .....	411
Aluru J. Prasad .....	411
Yen Men Kao .....	412
Steven J. Lalas .....	412
Roderick James Ramsay .....	412
Jeffrey Stephen Rondeau .....	413
Albert T. Sombolay .....	413
Jeffrey Schevitz .....	414
Three Taiwan Nationals Indicated for Espionage .....	414
Daniel and Patrick Worthing .....	415
Charles Schoof and John Haeger .....	415
CI at the End of the 20th Century: Bibliography .....	417
CI at the End of the 20th Century: Chronology .....	419
CI at the End of the 20th Century: End Notes .....	435