

**BY ORDER OF THE SECRETARY
OF THE AIR FORCE**

AIR FORCE INSTRUCTION 16-607

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Operations Support

**SUPPORT TO THE PROLIFERATION
SECURITY INITIATIVE AND
COUNTERPROLIFERATION
INTERDICTION OPERATIONS**

COMPLIANCE WITH THIS PUBLICATION IS MANDATORY

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This Air Force Instruction (AFI) implements Air Force Policy Directive (AFPD) 16-6, *International Arms Control and Nonproliferation Agreements, and the DOD Foreign Clearance Program*. This Instruction provides guidance and procedures for Air Force implementation of the Chairman of the Joint Chiefs of Staff Instruction (CJCSI) 5113.03, *Counterproliferation Interdiction Policy*, and CJCSI 3520.02B, *Proliferation Security Initiative (PSI) Activity Program*. This Instruction applies to all Air Force organizations and offices including the Air Force Reserve and Air National Guard. This publication may be supplemented at any level, but all supplements require routing to the OPR for this publication for coordination prior to certification and approval. Refer recommended changes and questions about this publication to AF/A10S using the AF Form 847, *Recommendation for Change of Publication*; route AF Forms 847 from the field through the appropriate functional chain of command. Ensure that all records created as a result of processes prescribed in this publication are maintained in accordance with (IAW) AFMAN 33-363, *Management of Records*, and disposed of IAW the Air Force Records Disposition Schedule (RDS) in the Air Force Records Information Management System (AFRIMS).

SUMMARY OF CHANGES

This publication has been substantially revised and requires a complete review. It modifies language to reflect Air Force responsibilities stemming from the publication of CJCSI 5113.03 and the updated publication of CJCSI 3520.02B. Language changes include a revised publication title.

1. Overview. This publication establishes guidance for Air Force support to counterproliferation (CP)-interdiction operations, CP-interdiction execute order (EXORD)-related training, exercises, manning, and equipping, as well as support to PSI activities.

1.1. Background.

1.1.1. Conducting a CP-interdiction operation. CP-interdiction is a proactive U.S. government mission for deterring, making more costly, inhibiting, disrupting, and preventing trafficking in shipments of proliferation concern. CP-interdiction consists of stopping proliferation to and by states and non-state actors of proliferation concern. CP-interdictions are usually conducted IAW a Secretary of Defense (SECDEF)-approved, CP-interdiction EXORD. The Services support interagency and Combatant Command (CCMD) execution of CP-interdiction operations to prevent shipments of concern. Air Force support is focused primarily on air shipments. CJCSI 5113.03 provides general and specific guidance for air counterproliferation interdiction (ACPI) operations. It also contains definitions of CP-related terms and concepts.

1.1.2. Security cooperation activities with Proliferation Security Initiative (PSI) endorsing states to improve capabilities to conduct CP-interdiction operations. The PSI is a global, multinational effort aiming to stop the trafficking of weapons of mass destruction (WMD), their delivery systems, and related materials to and from states and non-state actors of proliferation concern. DOD support to PSI was established IAW Secretary of Defense Memorandum on U.S. Military Support to the Multinational Proliferation Security Initiative (PSI) Exercise Program and Related Training, 8 August 2005 and DODD 2060.02, *Department of Defense (DOD) Combating Weapons of Mass Destruction (WMD) Policy*. The U.S. participates in security cooperation with other PSI endorsing states to improve national capabilities to interdict WMD, delivery systems, and related material. Such security cooperation activities may include planning and execution of PSI exercises, games, related training, meetings, and conferences. CJCSI 3520.02B tasks the Joint Staff, the Geographic Combatant Commands (GCCs), U.S. Strategic Command (USSTRATCOM), U.S. Transportation Command, and the Services to participate in the execution of PSI, including operational, exercise, and training activities. The Services are tasked to provide planning support, as required; coordinate subordinate organizations' participation IAW plans and procedures; provide support to Service operational elements, to include CCMD components, and the Joint Staff during exercise planning and execution, as required; provide necessary operations, communications, and logistics support IAW established procedures; and participate as observers or controllers during exercises, as required.

2. Responsibilities.

2.1. Air Force Deputy Chief of Staff, Strategic Deterrence and Nuclear Integration (AF/A10) is the Air Force single point of contact for issues regarding the implementation of CJCSI 3520.02B as authorized in DODD 2060.02.

2.1.1. Air Force Deputy Chief of Staff, Strategic Deterrence and Nuclear Integration (AF/A10) will:

2.1.1.1. Coordinate Air Force implementation of CJCSI 5113.03 and CJCSI 3520.02B, synchronizing and integrating Air Force support, to include Major

- Command (MAJCOM) activities. Participate in Joint Staff, OSD, and USSTRATCOM Center for Combating WMD planning activities as required to ensure adequate Air Force support. Support may include participation in workshops, meetings, and planning conferences.
- 2.1.1.2. Provide support to the National Air & Space Intelligence Center (NASIC)/Civil Aviation Intelligence Analysis Center (CAIAC) on ACPI-related issues.
- 2.1.1.3. Provide planning support to Joint Staff and the USSTRATCOM Center for Combating WMD (SCC-WMD) PSI Support Cell for PSI consultations, demonstrations, exercises, and training activities involving ACPI scenarios.
- 2.1.1.4. Participate, as required, in PSI workshops, meetings, and planning conferences, and provide trip reports to CAIAC and MAJCOMs for their situational awareness.
- 2.2. Air Force Deputy Chief of Staff for Intelligence, Surveillance, and Reconnaissance (AF/A2) will serve as the Air Force single point of contact for issues regarding the implementation of CJCSI 5113.03 and plan and provide execution support for ACPI EXORD-related activities, IAW CJCSI 5113.03.
- 2.2.1. CAIAC Director will:
- 2.2.1.1. Review the CAIAC role and responsibilities IAW applicable EXORDs and advise AF/A10S of proposed changes to advocate before the Joint Staff, SCC-WMD, the Combating Weapons of Mass Destruction Coordination Group (CCG) Strategy, Plans, Policy, and Doctrine (SPPD) subcommittee, and the CCG Executive Council.
- 2.2.1.2. Coordinate with Joint Staff and other U.S. departments and agencies as required on intelligence matters supporting DODD 2060.02 requirements and CJCSI 5113.03 policy.
- 2.2.1.3. Provide representation to PSI workshops, planning meetings, and planning conferences for ACPI and PSI activities, as required.
- 2.2.1.4. Coordinate with operational elements of other U.S. Government agencies, as required.
- 2.3. The Department of the Air Force General Counsel (SAF/GC), in coordination with The Judge Advocate General (TJAG) will provide legal advice relating to CP-interdiction operations and PSI activities.
- 2.4. MAJCOM Commanders will:
- 2.4.1. Provide planning support and forces, as required, to support CCMD participation in PSI activities. Activities may include Stand-Alone PSI Exercises (e.g., field training exercises, tabletops, command post exercises), PSI Exercise Injects, and other PSI-related events, as required.
- 2.4.2. Program operations and maintenance funds, and steady state Military Personnel Appropriation man-days to support PSI activities, as required.

2.4.3. Coordinate with CCMDs to plan and ensure strategic lift, intelligence, surveillance, and reconnaissance, and other required Air Force resources are available to support exercises and operations, as real world conditions allow.

2.4.4. Assist subordinate units in supporting PSI activities, as required.

3. Reporting.

3.1. AF/A10 and MAJCOMs participating in PSI activities will ensure all appropriate after-action reporting and assessments are posted on appropriate security cooperation databases such as the Air Force Security Cooperation Knowledgebase.

JACK WEINSTEIN, Lt Gen, USAF
DCS, Strategic Deterrence & Nuclear Integration

Attachment 1**GLOSSARY OF REFERENCES AND SUPPORTING INFORMATION*****References*****OSD Policy and Guidance**

Secretary of Defense Memorandum on U.S. Military Support to the Multinational Proliferation Security Initiative (PSI) Exercise Program and Related Training, 8 August 2005

DODD 2060.02, *Department of Defense (DOD) Combating Weapons of Mass Destruction (WMD) Policy*, 19 April 2007

DOD Strategy for Countering Weapons of Mass Destruction, June 2014

CJCS Policy and Guidance

CJCSI 3520.02B, *Proliferation Security Initiative (PSI) Activity Program*, 5 March 2015

CJCSI 5113.03, *Counterproliferation Interdiction Policy*, 25 September 2012 (S/NF)

Air Counterproliferation Interdiction (ACPI) EXORD, DTG: 022120Z May 13 (S)

AF Policy and Guidance

AF Global Partnership Strategy, December 2011

AFPD 16-6, *International Arms Control and Nonproliferation Agreements, and the DOD Foreign Clearance Program*, 29 December 2010

AFPD 10-26, *Countering Weapons of Mass Destruction Enterprise*, 17 June 2015

AFI 10-204, *Participation in Joint and National Exercises*, 27 March 2015

AFI 16-601, *Implementation of, and Compliance with, International Arms Control and Non-Proliferation Agreements*, 18 February 2011 (incorporating through Change 2, 6 August 2014)

AFI 16-603, *Education and Training Requirements for Implementation of, and Compliance with, Arms Control Agreements*, 9 June 2011 (certified current 3 September 2014)

AFI 33-360, *Publications and Forms Management*, 1 December 2015

AFMAN 33-363, *Management of Records*, 1 March 2008

Adopted Forms

AF Form 847, *Recommendation for Change of Publication*

Abbreviations and Acronyms

ACPI —Air Counterproliferation Interdiction

AF/A2 —Air Force Deputy Chief of Staff for Intelligence, Surveillance and Reconnaissance

AF/A10 —Air Force Deputy Chief of Staff, Strategic Deterrence and Nuclear Integration

AF/A10S —Air Force Strategic Stability and CWMD Division

AFI —Air Force Instruction

AFPD —Air Force Policy Directive
CAIAC —Civil Aviation Intelligence Analysis Center
CCG —Combating Weapons of Mass Destruction Coordination Group
CCMD —Combatant Command
CP —Counterproliferation
DOD —Department of Defense
DODD —Department of Defense Directive
EC —Executive Council
EXORD —Execute Order
GCC —Geographic Combatant Command
IAW – In accordance with
MAJCOM – Major Command
NASIC —National Air & Space Intelligence Center
OEG —Operational Experts Group
OPR —Office of Primary Responsibility
OSD —Office of the Secretary of Defense
OSD(P) —Office of the Secretary of Defense for Policy
PSI —Proliferation Security Initiative
SAF —Secretary of the Air Force
SAF/GC —Department of the Air Force General Counsel
SECDEF —Secretary of Defense
SCC-WMD —U.S. Strategic Command Center for Combating Weapons of Mass Destruction
TJAG —The Judge Advocate General
USAF —United States Air Force
USSTRATCOM —U.S. Strategic Command
WMD —Weapons of Mass Destruction

Terms

Air Component Command—A command consisting of the air component commander and all forces (individuals, units, detachments, organizations, and installations) under that command, including the support forces that have been assigned to a combatant command or further assigned to a subordinate unified command or joint task force.

Counterproliferation—Those actions taken to defeat the threat and/or use of weapons of mass destruction against the United States, our forces, allies, and partners.

Nonproliferation—Those actions (diplomacy, arms control, multilateral agreements, threat reduction assistance, and export controls) taken to prevent the proliferation of weapons of mass destruction by dissuading or impeding access to, or distribution of, sensitive technologies, material, and expertise.

Operational Experts Group—The OEG sets the strategic direction for PSI and is responsible for outreach within PSI, as well as to potential endorsing states, international organizations, industry, civil society, and the public. The OEG convenes annual, action-oriented meetings to address all of the diplomatic, law enforcement, and military elements associated with interdiction activities. States become OEG members based on their political importance, involvement in international shipping, or the strength of their commitment to nonproliferation norms. They are leaders in their respective regions in preventing the proliferation of WMD and their means of delivery. Regional OEG members should be invited to all PSI exercises in their region and can provide a depth and breadth of interdiction experience to enrich these activities. OSD(P) heads the U.S. delegation to the OEG. Currently, 21 PSI-endorsing states comprise the OEG. Strong roles for OEG nations at PSI activities also assist in preventing an overly prominent U.S. role and highlight the truly multilateral nature of PSI.

Performance Indicators—Quantitative and qualitative means of assessment that provide an agency the means by which it can internally monitor and evaluate its own efforts to identify areas needing improvement and assessing the quality of performance of ongoing and completed activities over time.

Proliferation Network—A proliferation network includes the relational connections made by proliferators, brokers, front companies, and end-users to transport proliferation-related shipments from a source country to the end-user without being detected. (CJCSI 5113.03).

PSI Exercises—There are two types of PSI exercises: Stand-Alone PSI Exercises and PSI Exercise Injects. Mission permitting, combatant commanders should be prepared to support both types of exercises. A Stand-Alone PSI Exercise features PSI and CP-interdiction as the central focus of the Exercise and may include Field Training Exercise (FTX), Tabletop Exercise (TTX), or other components and may involve the maritime, air, and/or overland transport domains. A PSI Exercise Inject involves a PSI or CP-interdiction scenario that is contained within a broader exercise, such as the CP-interdiction scenarios that are routinely included in the USSOUTHCOM exercise PANAMAX. Other PSI-related events include OEG meetings, PSI key industry workshops, and discussions at such fora as think tanks and seminars.

Weapons of Mass Destruction (WMD)—Chemical, biological, radiological, or nuclear weapons capable of a high order of destruction or causing mass casualties, but excluding the means of transporting or propelling the weapon where such means is a separable and divisible part from the weapon.

WMD Delivery Systems—Equipment, material, and related technologies usable for systems capable of delivering WMD.

WMD Related Materials—Technical expertise, materials, and sophisticated dual-use technology that can be used in the development of WMD.