

#### **DEPARTMENT OF THE NAVY**

OFFICE OF THE CHIEF OF NAVAL OPERATIONS 2000 NAVY PENTAGON WASHINGTON DC 20350-2000

> OPNAVINST 5450.221E N3/N5 23 Jan 2018

#### OPNAV INSTRUCTION 5450.221E

From: Chief of Naval Operations

Subj: MISSION, FUNCTIONS, AND TASKS OF NAVAL SPECIAL WARFARE COMMAND

Ref: (a) OPNAVINST 5400.44A

- (b) CNO Message Washington DC 171733Z Apr 87
- (c) USSOCOM Directive 10-1
- (d) 10 U.S.C.
- (e) Navy Regulations, 1990
- (f) Department of the Navy and U.S. Special Operations Command (USSOCOM) Memorandum of Agreement (MOA)
- (g) OPNAVINST 5400.45
- (h) Global Force Management Implementation Guidance FY 2016-2017

Encl: (1) Functions and Tasks of Naval Special Warfare Command

# 1. Purpose

- a. To publish the mission, functions, and tasks of Commander, Naval Special Warfare Command (COMNAVSPECWARCOM) per reference (a) under the mission established by references (b) and (c).
- b. This revision is required to correct and clarify organizational relationships. This instruction is a complete revision and should be reviewed in its entirety. A summary of changes to this instruction is outlined in subparagraphs 1b(1) through 1b(4).
  - (1) Establishes authority of COMNAVSPECWARCOM.
- (2) Clarifies status and command relations section, including expanded discussion of subordinate commands.
- (3) Provides a more detailed discussion of functions and tasks of Naval Special Warfare Command (NAVSPECWARCOM).
  - (4) Changes signature authority from Chief of Naval Operations to Director, Navy Staff.
- 2. Cancellation. OPNAVINST 5450.221D.

- 3. <u>Scope and Applicability</u>. The provisions of this instruction are applicable to COMNAVSPECWARCOM.
- 4. <u>Authority</u>. Chief of Naval Operations (CNO) delegates to COMNAVSPECWARCOM authority under references (d) and (e) to organize, supply, train, equip, administer, and maintain naval special warfare (NSW) forces and shore activities to required levels of current and future readiness.
- 5. <u>Mission</u>. Per reference (c), recruit, organize, train, man, equip, educate, sustain, secure, and maintain combat readiness, and deploy Active Component and Reserve Component NSW forces and personnel to accomplish special warfare missions assigned by Commander, United States Special Operations Command (USSOCOM) or geographic combatant commanders employing special operations forces (SOF).
- 6. <u>Status and Command Relationships</u>. NAVSPECWARCOM is a shore activity in an active, fully operational status reporting to a unified combatant command. Per reference (c), it is the Navy component of USSOCOM and functions under the combatant command authority of Commander, USSOCOM. Per annex A of reference (f), NAVSPECWARCOM is also an echelon 2 command under CNO for funding and provision of service-common systems, services, equipment, training, munitions, and materiel for NSW forces. On service-specific issues, COMNAVSPECWARCOM must communicate with the CNO, while keeping Commander, USSOCOM informed.
- a. Per reference (g), service support for administrative and logistic matters for echelon 1 is CNO and for echelon 2 is COMNAVSPECWARCOM.
- b. Per reference (h), available from Joint Staff J-8 Force Structure, Resources, and Assessment, combatant command for echelon 1 is Commander, USSOCOM and for echelon 2 is COMNAVSPECWARCOM.
  - c. Per reference (g), COMNAVSPECWARCOM is the immediate superior in command of:
    - (1) Commander, Naval Special Warfare Group (NAVSPECWARGRU) ONE;
    - (2) Commander, NAVSPECWARGRU TWO;
    - (3) Commander, NAVSPECWARGRU THREE;
    - (4) Commander, NAVSPECWARGRU FOUR;
    - (5) Commander, NAVSPECWARGRU TEN;
    - (6) Commander, NAVSPECWARGRU ELEVEN;

- (7) Commander, NSW Center;
- (8) Commander, Naval Special Warfare Development Group (administrative control only); and
- 7. Command, Tenant, Supported and Supporting Activities and Detachments
  - a. Regional Coordinator: Commander, Navy Region Southwest.
  - b. Local Coordinator: Commanding Officer, Naval Base Coronado.
- 8. <u>Functions and Tasks</u>. COMNAVSPECWARCOM is assigned the functions and tasks cited in enclosure (1).
- 9. <u>Action</u>. COMNAVSPECWARCOM will ensure performance of the functions and tasks in enclosure (1) and will recommend changes or revisions to this document when required to CNO.
- 10. <u>Records Management</u>. Records created as a result of this instruction, regardless of media and format, must be managed per Secretary of the Navy (SECNAV) Manual 5210.1.
- 11. Review and Effective Date. Per OPNAVINST 5215.17A, COMNAVSPECWARCOM will review this instruction annually on the anniversary of its issuance date to ensure applicability, currency, and consistency with Federal, Department of Defense, SECNAV, and Navy policy and statutory authority using OPNAV 5215/40 Review of Instruction. This instruction will be in effect for 5 years, unless revised or cancelled in the interim, and will be reissued by the 5-year anniversary date if it is still required, unless it meets one of the exceptions in OPNAVINST 5215.17A, paragraph 9. Otherwise, if the instruction is no longer required, it will be processed for cancellation as soon as the cancellation is known following the guidance in OPNAV Manual 5215.1.

K. M. DONEGAN Director, Navy Staff

Releasability and distribution:

This instruction is cleared for public release and is available electronically only via Department of the Navy Issuances Web site, <a href="http://doni.documentservices.dla.mil">http://doni.documentservices.dla.mil</a>

#### FUNCTIONS AND TASKS OF NAVAL SPECIAL WARFARE COMMAND

## 1. Functions

- a. Exercise command authority consistent with assignment of operational control over all active and Reserve NSW organizations in the United States.
  - b. Serve as the Navy service component of USSOCOM.
- (1) Act as USSOCOM's lead component for special operations (SO) conducted in undersea, riverine, littoral (including urban littoral), and all maritime environments. Specifically, COMNAVSPECWARCOM will serve as the USSOCOM lead component for maritime direct action; maritime special reconnaissance; maritime foreign internal defense; combat swimming and dive operations; undersea operations (submersible operations); maritime mobility (surface mobility); riverine operations; littoral, urban littoral, and coastal operations and maritime training; and other maritime SO.
- (2) Man, train, equip, deploy, and sustain Navy special operations forces (NAVSOF) in support of combatant commander (CCDR) and interagency requirements and U.S. national interests.
- (3) Coordinate, monitor, ensure security, and prepare forces for Commander, USSOCOM-directed NSW support to special activities.
- (4) Identify and define requirements for Commander, USSOCOM validation, development, and acquisition of SO-peculiar equipment, and the acquisition of SO-peculiar material, supplies, services, adaptive force packaging, and military construction required by NSW forces.
- (5) Assist USSOCOM in the planning and execution of SO-peculiar materiel acquisition programs.
- (6) Develop NSW doctrine, tactics, techniques, and procedures for NAVSOF. Ensure NSW doctrine is consistent with joint SO doctrine. Coordinate NSW doctrine with the Navy, other Services, and agency schools to ensure interoperability.
  - c. Serve as the special warfare component of the Navy.
- (1) Provide oversight of promotions, assignments, retention, training, and professional military education of active and reserve NSW personnel.

- (2) Coordinate with Navy staff on Service-specific issues and conventional support to NSW regarding systems; services; equipment; munitions; materiel; personnel; administration; intelligence and counterintelligence; logistics; and individual training.
- (3) Work closely with Navy staff resource sponsors to ensure SO-peculiar requirements are represented during the evolution of current and development of future Navy maritime and undersea capabilities.
- (4) Coordinate with other Navy echelon 2 force providers to include United States Fleet Forces Command and United States Pacific Fleet for mutually beneficial interoperability training opportunities.
- 2. <u>Tasks</u>. For all tasks, the COMNAVSPECWARCOM Standard Organization and Regulations Manual provides additional details and responsibilities.
  - a. Assistant Chief of Staff for Manpower, Personnel, and Administration (NSWC N1)
    - (1) Execute manpower management, personnel programs, and administrative functions.
- (2) Provide administrative support services including, but not limited to, drafting and maintaining records of correspondence, awards, evaluations and fitness reports, and command directives.
- (3) Analyze force structures; organizations; manpower documents; joint tables of distribution; joint tables of mobilization and distribution; management recommendations and decisions; Navy enlisted classification and Navy officer billet classification recommendations and changes; and program objective memorandum (POM) results, to:
  - (a) Determine sourcing solutions.
  - (b) Determine required changes in the proper time frame.
  - (c) Implement the required changes.
  - (4) Submit organizational change requests.
- (5) Coordinate personnel support matters with USSOCOM, Office of the Chief of Naval Operations (OPNAV), Navy Personnel Command (NAVPERSCOM), and the Enlisted Placement Management Center.
  - (6) Maintain liaison with NAVPERSCOM regarding NSW community management.

(7) Maintain liaison with NAVPERSCOM regarding SEAL and SWCC officer and enlisted assignment and placement.

## b. Assistant Chief of Staff for Force Intelligence (NSWC N2)

- (1) Analyze requirements for intelligence, surveillance, reconnaissance, and counterintelligence support to NSW forces while in garrison, during training, and when deployed; and ensure documentation for resourcing decisions and risk mitigation is published.
- (2) Ensure technical capabilities, hardware, software, and digital architectures exist to operationalize full-spectrum intelligence, surveillance, reconnaissance operations, and counterintelligence activities in support of NAVSOF.
- (3) Collect, analyze, and disseminate intelligence to NSW forces within the continental United States and outside the continental United States for force protection and operations, as required.

# c. Assistant Chief of Staff for Operations, Training, and Ranges (NSWC N3)

- (1) Manage ranges and training lands for live fire and maneuver training in support of NAVSOF.
- (2) Develop, manage, and coordinate NAVSOF-specific training policies, requirements, processes, programs, and standards in support of operational readiness.
- (3) Provide a capabilities-based readiness reporting system such as Defense Readiness Reporting System-Strategic based upon mission essential tasks and a means to manage and report readiness of the forces to execute the national military strategy.
- (4) Provide policy, guidance, and standardized processes and procedures in support of NAVSOF core activities.
- (5) Develop concepts of operation and doctrine for the employment of NAVSOF, and mission essential task-based capabilities in support of SOF, Navy, joint, and coalition commanders.
- (6) Facilitate operational movement. Provide oversight and input into the joint operation and planning system for time-phased force deployment data management in support of CCDR force flow requirements.
- (7) Develop and refine the annual NAVSOF allocation plan to support operational requirements through global force management processes. Submit sourcing solutions in

response to CCDR requirements for NAVSOF capabilities, ad-hoc forces, and individual augmentees.

- (8) Prepare and issue operational orders and instructions.
- (9) Review operational, campaign, and contingency plans for executability.
- (10) Coordinate aviation, maritime, and subsurface requirements to support NAVSOF training requirements. Coordinate with the Center for SEAL team and special warfare combatant-craft crewman for career management, leader development, and professional military education of NSW forces.
- d. <u>Assistant Chief of Staff for Logistics, Engineering, Maintenance, and Combat Systems</u> (NSWC N4)
- (1) Manage all engineering, supply, ordnance, and maintenance related matters. Supervise and direct shore installation planning; military construction planning and programming; environmental planning and compliance; facilities management; contingency engineering; civil engineering support equipment management; supply operations; and integrated logistics support.
- (2) Oversee the operational stocks and logistics information technology programs to ensure effective support of NAVSOF. Conduct logistics management inspections to evaluate the logistics and supply operations of NSW echelon 3 and 4 commands to ensure compliance with orders and instructions that govern logistics functions.
- (3) Manage and inspect the life cycle sustainment processes of NSW's combat systems to include ordnance; weapons; weapons accessories; visual augmentation systems; chemical, biological, radiological, and nuclear equipment; the SOF personnel equipment advanced requirements program; and tactical combat casualty care assets.
- (4) Oversee the environmental planning and compliance program within the NSW claimancy. Maintain current records of all claimancy related compliance issues.
- (5) Develop, update, and maintain a Global Shore Infrastructure Plan to strategically capitalize upon and transform ashore infrastructure for NAVSOF.
  - (6) Provide operational contract support support functions when directed.
  - e. Assistant Chief of Staff for Plans, Assessments, and Strategy (NSWC N5)

- (1) Lead NSW planning efforts. Provide input to USSOCOM campaign plan and theater special operations command regional campaign plans, operational plans, concept plans, and contingency plans. Develop supporting plans.
- (2) Coordinate with Navy Warfare Development Command to develop and publish NSW doctrine and tactics, techniques and procedures to ensure consistency, applicability, and readability in consideration of current and future NSW SOs.
- (3) Conduct doctrine, organization, training, materiel, leadership and education, personnel, facilities and policy assessments to identify capability and capacity (force structure) gaps, duplicity, or obsolescence and recommend solutions. Oversee operational studies and analysis for NSW, including capability-based assessments.
- (4) Lead and participate in initial phases of NSW Joint Capabilities Integration and Development System and Special Operations Forces Capabilities Integration and Development System processes, including, where appropriate, developing and writing initial capabilities documents, directing or assisting analysis of alternatives, leading or participating in capability-based assessments.
- (5) Coordinate with USSOCOM to analyze the SO core activities and determine required NSW capabilities and any associated capability gaps to inform future resource allocation decisions and gap analyses.
- (6) Review, interpret, and develop long-range SO strategy and plans. Develop command strategy.
- (7) Develop and submit input to USSOCOM and Navy staff for strategic initiatives (e.g., Quadrennial Defense Review, USSOCOM-Navy Talks, and USSOCOM Mission Guidance Letters).
- f. <u>Assistant Chief of Staff for Force Command, Control, Communications, Computers and</u> Intelligence (NSWC N6)
- (1) Spearhead command, control, communications, computers and information and joint command, control, communications, computers operations and interoperability issues, advising COMNAVSPECWARCOM of current programs and acquisitions for command, control, communications, computers programs, communication security matters, and general communications program management.
- (2) Ensure the command and control communications infrastructure, communications-computer networking, communications electronics, cyber security, and interoperability of systems within NSW Headquarters, the SOF network information environment and as integrated throughout the NSW domain (ashore and afloat).

- (3) Develop and implement information technology (IT) policy, guidance, and procedures for NSW, in conjunction with higher authorities, peer organizations, and subordinates.
- (4) Serve as the NSW IT portfolio manager, responsible for registration of systems, networks, servers, and applications, following DoD and Federal directives, and oversight of command, control, communications, computers investments.
- (5) Maintain continuous liaison with flag officer and senior level staffs on emerging and revised command, control, communications, computers requirements.
- (6) Coordinate memorandums of agreements or understanding and oversight of communications maintenance and repair facilities, to include Naval Air Warfare Center Aircraft Division and field technical units.

## g. Assistant Chief of Staff Comptroller (NSWC N7)

- (1) Develop and execute COMNAVSPECWARCOM budget and fiscal policies. Serve as the focal point for COMNAVSPECWARCOM financial matters.
- (2) Perform additional duties as budget submitting officer 88. Per SECNAVINST 7000.27B, report to the Assistant Secretary of the Navy (Financial Management and Comptroller) for additional duties.
  - h. Assistant Chief of Staff for Resources, Requirements, and Assessments (NSWC N8)
- (1) Develop, submit, and defend COMNAVSPECWARCOM material and funding requirements to USSOCOM for POM development and major force program (MFP)-11 funding.
- (2) Develop, submit, and defend COMNAVSPECWARCOM material and funding requirements to the OPNAV for MFP-2 funding and Navy common solutions.
- (3) Oversee and influence the execution of acquisition programs of interest to NSW, working closely with respective program offices. Manage NSW internal Integrated Product Teams to convey program status to and gather input from key stakeholders.
- (4) Conduct combat development. Discover, develop, and procure emerging science and technology for use by NAVSOF.
- (5) Manage and coordinate NSW interface with Joint Capabilities Integration and Development System and the SOF Capabilities Integration and Development System processes.

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- (6) Coordinate with Commander, Naval Sea Systems Command (COMNAVSEASYSCOM) regarding audits and certifications for organic undersea mobility, recompression, and life support systems and equipment.
- (7) Coordinate with CNO, USSOCOM, and COMNAVSEASYSCOM during POM development regarding undersea and surface mobility programs.
- (8) Interface with Navy systems commands and type commands regarding requirements and issues to assess commonality of resource needs and employment.
- (9) Coordinate with OPNAV to present NSW resourcing, acquisition and research, development, testing and evaluation issues per annex A, appendices I and II of reference (f).