



Department of Defense INSTRUCTION

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May 17, 2012

Incorporating Change 1, November 26, 2014

~~DA&M~~DCMO

SUBJECT: DoD Civil Liberties Program

References: See Enclosure 1

1. PURPOSE. This Instruction, in accordance with the authorities in sections 2000ee and 2000ee-1 of title 42, United States Code (U.S.C.) (Reference (a)), Public Law 108-458 (Reference (b)), DoD Directive 5105.53 (Reference (c)), Deputy Secretary of Defense Memorandum~~s~~ (Reference~~s~~ (d) and (de)), and the guidance in DoD Civil Liberties Officer Memorandum (Reference (ef)) and DoD Civil Liberties Officer Memorandum (Reference (fg)):

a. Establishes policy and assigns responsibilities for the implementation of the DoD Civil Liberties Program and for those portions of Reference (a) that relate to privacy. The DoD~~'s~~ Privacy Act Program will continue to be governed by DoD Directive 5400.11(Reference (gh)), DoD 5400.11-R (Reference (hi)), and Director of Administration and Management Memorandum (Reference (ij)).

b. Delegates authorities for the effective administration of the DoD Civil Liberties Program.

c. Authorizes the Defense Civil Liberties Board.

2. APPLICABILITY. This Instruction applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD (hereinafter referred to collectively as the "DoD Components").

3. DEFINITIONS. See Glossary.

4. POLICY. It is DoD policy to:

- a. Protect the privacy and civil liberties of DoD employees, members of the Military Services, and the public to the greatest extent possible, consistent with its operational requirements.
- b. Consider appropriately privacy and civil liberties in the review, development, and implementation of new or existing laws, regulations, policies, and initiatives.
- c. Not maintain information, as defined in Reference (h), on how an individual exercises rights protected by the First Amendment to the Constitution of the United States, including the freedoms of speech, assembly, press, and religion, except when:
 - (1) Specifically authorized by statute;
 - (2) Expressly authorized by the individual, group of individuals, or association on whom the record is maintained; or
 - (3) The record is pertinent to and within the scope of an authorized law enforcement, intelligence collection, or counterintelligence activity.
- d. Have adequate procedures to receive, investigate, respond to, and redress complaints from individuals who allege that the DoD has violated their privacy or civil liberties.
- e. Prohibit reprisals or the threat of reprisals against individuals who make complaints or disclose information that indicates a possible violation of privacy protections or civil liberties in the administration of the programs and operations of the Federal Government to the:
 - (1) Privacy or civil liberties officers as described in subsection (a) or (b) of section 2000ee-1 of Reference (a);
 - (2) Privacy and Civil Liberties Oversight Board as defined in section 2000ee of Reference (a); or
 - (3) Component chief civil liberties officers or primary civil liberties points of contact (POCs). No reprisals or threat of reprisals shall be taken by any Federal employee in a position to take such actions, unless the complaint was made or the information was disclosed with the knowledge that it was false or with willful disregard for its truth or falsity.

5. RESPONSIBILITIES. See Enclosure 2.

6. INFORMATION COLLECTION REQUIREMENTS. ~~a. The Quarterly R report on the Activities of the DoD Civil Liberties Program referred to in paragraph 1.h. of Enclosure 2 of this Instruction is submitted to Congress and to the Privacy and Civil Liberties Oversight Board in accordance with sections 2000ee and 2000ee-1, of Reference (a) and is coordinated with the Office of the Assistant Secretary of Defense for Legislative Affairs in accordance with the~~

~~procedures in DoDI 5545.02 (Reference (j)).~~ b. The reports directed by ~~DPCL~~ DoD Civil Liberties Program Reporting Requirements referred to in paragraphs 1.h, 3.g., and 7.i. of Enclosure 2 of this Instruction have been assigned Report Control Symbol (RCS) DD-~~DA&MDCMO(QSA)~~2472, in accordance with *the procedures in Volume 1 of DoD Manual 8910.01-M* (Reference (k)).

7. RELEASABILITY. ~~UNLIMITED~~ *Cleared for public release.* This Instruction is approved for public release and is available on the Internet from the DoD Issuances Website at <http://www.dtic.mil/whs/directives>.

8. EFFECTIVE DATE. a. ~~This I~~instruction is effective May 17, 2012.

~~—b. This Instruction must be reissued, cancelled, or certified current within 5 years of its publication in accordance with DoD Instruction 5025.01 (Reference (l)).c. If not, this Instruction will expire effective May 17, 2022, and be removed from the DoD Issuances Website (Reference (l)).~~



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Director of Administration and Management

*Change 1 approved by
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ENCLOSURE 1

REFERENCES

- (a) Sections 2000ee and 2000ee-1, of title 42, United States Code, *as amended*
- (b) Public Law 108-458, “The Intelligence Reform and Terrorism Prevention Act of 2004,” as amended
- (c) DoD Directive 5105.53, “Director of Administration and Management (DA&M),” February 26, 2008
- (d) *Deputy Secretary of Defense Memorandum, “Reorganization of the Office of the Deputy Chief Management Officer,” July 11, 2014*
- (~~e~~) Deputy Secretary of Defense Memorandum, “Designation of the DoD Civil Liberties Officer (CLO),” July 2, 2009
- (~~f~~) DoD Civil Liberties Officer Memorandum, “Organizational Placement and Structure of DoD Civil Liberties Officer (CLO) Functions,” December 14, 2009
- (~~g~~) DoD Civil Liberties Officer Memorandum, “Protection of Civil Liberties in the Department of Defense,” November 1, 2010
- (~~h~~) DoD Directive 5400.11, “DoD Privacy Program,” May 8, 2007, *as amended*
- (~~i~~) DoD 5400.11-R, “Department of Defense Privacy Program,” May 14, 2007
- (~~j~~) Director of Administration and Management Memorandum, “Appointment of a Senior Official for Privacy and Issuance of Revised Program Compliance Reporting Requirements,” February 7, 2008
- (~~k~~) ~~DoD Instruction 5545.02, “DoD Policy for Congressional Authorization and Appropriations Reporting Requirements,” December 19, 2008~~
- (k) DoD *Manual* 8910.01-M, ~~“DoD Procedures for Management of Information Requirements,” June 30, 1998 Volume 1, “DoD Information Collections Manual: Procedures for DoD Internal Information Collections,” June 30, 2014~~
- (~~l~~) ~~DoD Instruction 5025.01, “DoD Directives Program,” October 28, 2007~~
- (~~m~~) DoD Directive 5148.11, “Assistant to the Secretary of Defense for Intelligence Oversight (ATSD (IO)),” ~~September 20, 2010 April 24, 2013~~
- (~~n~~) Appendix 3 of title 5, United States Code (also known as “The Inspector General Act of 1978,” as amended)
- (~~o~~) Chapter 47, title 10, United States Code (also known as “The Uniform Code of Military Justice”)

ENCLOSURE 2

RESPONSIBILITIES

1. ~~DIRECTOR OF ADMINISTRATION AND MANAGEMENT (DA&M) DEPUTY CHIEF MANAGEMENT OFFICER OF THE DEPARTMENT OF DEFENSE (DCMO)~~. The ~~DA&M DCMO~~, in addition to the responsibilities in section 7 of this enclosure, shall:

a. Advise the Secretary of Defense and senior DoD leadership on the DoD Civil Liberties Program.

b. Serve as the DoD Civil Liberties Officer in accordance with Reference (a).

c. Assist the Secretary of Defense and senior leadership in appropriately considering privacy and civil liberties concerns when they propose, develop, or implement laws, regulations, policies, procedures, DoD issuances, or guidelines.

d. Ensure that DoD actions, policies, procedures, guidelines, and related laws and their implementation are periodically investigated and reviewed to provide for the adequate consideration of privacy and civil liberties.

e. Ensure that the DoD has adequate procedures in place to receive, investigate, respond to, and redress complaints from individuals who allege that the DoD violated their privacy or civil liberties.

f. When providing advice on proposals to retain or enhance a particular governmental power, consider whether the DoD has established that:

(1) The need for the power is balanced with the need to protect privacy and civil liberties.

(2) There is adequate supervision of the use of that power by the DoD to ensure the protection of privacy and civil liberties.

(3) There are adequate guidelines and oversight to properly confine the use of the power.

g. Coordinate privacy and civil liberties activities with the Inspector General of the Department of Defense (IG, DoD) to avoid duplication of effort.

h. Submit ~~quarterly~~ *semiannual* reports on the activities of the DoD Civil Liberties Program to appropriate committees of Congress, ~~and~~ to the Privacy and Civil Liberties Oversight Board ~~once constituted~~, *and to the Secretary of Defense* in accordance with Reference (a).

i. Ensure the reports referenced in paragraph 1.h. of this enclosure are made available to the public to the greatest extent possible, consistent with the protection of classified information and applicable law, and otherwise inform the public of the activities of the DoD Civil Liberties Program.

j. Establish the Defense Civil Liberties Board.

k. Publish Defense Civil Liberties Board advisory opinions and other guidance to ensure timely and uniform implementation of the DoD Civil Liberties Program.

2. DIRECTOR, WASHINGTON HEADQUARTERS SERVICE (WHS). The Director, WHS, under the authority, direction, and control of the ~~DA&M DCMO~~ *through the Director of Administration*, shall support the Heads of the OSD Components, in the operation of the DoD Civil Liberties Program.

3. DIRECTOR, DEFENSE PRIVACY AND CIVIL LIBERTIES OFFICE (DPCLO). The Director, DPCLO, under the authority, direction, and control of the ~~DA&M DCMO~~, shall:

a. Serve as the DoD Deputy Civil Liberties Officer and perform all duties and responsibilities as directed by the DoD Civil Liberties Officer.

b. Assist the DoD Civil Liberties Officer in performing the duties in Section 1 of this Enclosure.

c. Coordinate privacy and civil liberties activities, at the direction of the DoD Civil Liberties Officer, with the IG, DoD, to avoid duplication of effort.

d. Manage and supervise the DPCLO.

e. On behalf of the DoD Civil Liberties Officer, chair, supervise, and oversee the activities of the Defense Civil Liberties Board.

f. Publish Defense Civil Liberties Board advisory opinions and other guidance to ensure timely and uniform implementation of the DoD Civil Liberties Program.

g. ~~Periodically, but not less than Request reports semiannually request data~~ from DoD Components for *the reports referred to in paragraph 1.h. of this enclosure. reporting purposes, pursuant to Reference (a).*

h. Ensure DoD employees and Service members of the military are adequately trained, as appropriate, regarding the protection of privacy and civil liberties.

i. Coordinate with DoD Components, as appropriate, to establish and maintain procedures for the investigation of complaints from individuals who allege that the DoD Component violated their privacy or civil liberties.

j. Coordinate with the ~~Office of the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)) DoD Senior Intelligence Oversight Official~~ for complaints that allege violations of privacy or civil liberties in the conduct of DoD intelligence activities in accordance with DoD Directive 5148.11 (Reference (m)).

4. GENERAL COUNSEL OF DEPARTMENT OF DEFENSE (GC, DoD). The GC, DoD, in addition to the responsibilities in section 7 of this enclosure, shall:

a. Provide advice and assistance on all legal matters arising out of, or incident to, the DoD Civil Liberties Program.

b. Inform and advise the DoD Civil Liberties Officer or the Deputy DoD Civil Liberties Officer on privacy and civil liberties related litigation, judicial decisions, legislation, and other legal issuances or developments that affect the administration of the DoD Civil Liberties Program or are of significant interest to the public, media, Congress, or DoD senior officials.

c. Review, in consultation with the DoD Civil Liberties Officer or the Deputy DoD Civil Liberties Officer, allegations of privacy or civil liberties violations and provide support to the investigation of those allegations as appropriate.

5. IG, DoD. The IG, DoD, shall inform the DoD Civil Liberties Officer or the Deputy Civil Liberties Officer of its privacy and civil liberties activities in order to avoid duplication of effort, in accordance with Reference (a). The IG, DoD, is an independent organizational component of the DoD, and nothing in this Instruction should be construed as infringing upon the independence of the IG, DoD, or preventing the IG, DoD, from fulfilling its duties pursuant to Appendix 3 of title 5, U.S.C. (also known as “The Inspector General Act of 1978,” as amended) (Reference (m)). Effective coordination and cooperation shall not interfere with existing investigatory processes conducted by the IG, DoD, including investigations into privacy or civil liberties complaints.

6. ~~ATSD(IO) DOD SENIOR INTELLIGENCE OVERSIGHT OFFICIAL~~. ~~The ATSD(IO), in addition to the responsibilities in section 7 of this enclosure~~ Under the authority, direction, and control of the DCMO, the DoD Senior Intelligence Oversight Official shall coordinate with the DoD Civil Liberties Officer or the Deputy DoD Civil Liberties Officer on complaints that allege violations of privacy or civil liberties in the conduct of DoD intelligence activities, consistent with Reference (m).

7. HEADS OF THE OSD AND DoD COMPONENTS. The Heads of the OSD and DoD Components shall:

- a. Designate a senior Service member or civilian employee to serve as the Component chief civil liberties officer.
- b. Designate a Service member or civilian employee to serve as the Component primary civil liberties POC.
- c. Consider privacy and civil liberties when proposing, developing, or implementing laws, regulations, policies, procedures, or guidelines related to the Component's mission.
- d. Periodically investigate and review Component actions, policies, procedures, guidelines, and related laws and their implementation to ensure that the Component is considering appropriately privacy and civil liberties.
- e. Ensure the Component has adequate procedures to receive, investigate, respond to, and redress complaints from individuals who allege that the Component violated their privacy or civil liberties.
- f. In providing advice on proposals to retain or enhance a particular governmental power, consider whether the Component has established that:
 - (1) The need for the power is balanced with the need to protect privacy and civil liberties.
 - (2) There is adequate supervision of the use by the Component of the power to ensure protection of privacy and civil liberties.
 - (3) There are adequate guidelines and oversight to properly confine the use of the power.
- g. Coordinate privacy and civil liberties activities with the Component Inspector General to avoid duplication of effort.
- h. Ensure that any violation of the prohibitions regarding reprisals under paragraph 4.e above the signature of this Instruction by civilian employees under their respective jurisdictions is reviewed for appropriate disciplinary action under regulations governing civilian employees.
- i. Submit *the semiannual reports referenced in paragraphs 1.h. and 3.g. of this enclosure as directed by to the Director, DPCLC, using DD Form 2984, "Component Civil Liberties Report (42 U.S.C. 2000ee-1)." in accordance with Reference (a).*
 - (1) *The reports include:*
 - (a) *Information on the number and types of reviews undertaken.*
 - (b) *The type of advice provided and the response given to such advice.*

(c) The number and nature of the complaints received by the OSD or DoD Component for alleged violations.

(d) A summary of the disposition of such complaints, the reviews and inquiries conducted, and the impact of the activities of the OSD and DoD Component's Civil Liberties Program.

(2) Reports are not required to include complaints arising out of non-judicial punishments, military courts-martial, and administrative separation processes.

j. Ensure Component employees and Service members are trained, as appropriate, regarding the protection of privacy and civil liberties.

8. SECRETARIES OF THE MILITARY DEPARTMENTS. The Secretaries of the Military Departments, in addition to the responsibilities in section 7 of this enclosure, shall issue general regulations making punishable pursuant to chapter 47 of title 10, U.S.C. (also known as "The Uniform Code of Military Justice (UCMJ)" (Reference *(en)*)), any violation of the prohibitions of paragraph 4.e. above the signature of this Instruction by persons subject to the UCMJ.

GLOSSARY

PART I. ABBREVIATIONS AND ACRONYMS

ATSD(IO)	Assistant to the Secretary of Defense for Intelligence Oversight
DA&M	Director of Administration and Management
DCMO	Deputy Chief Management Officer
DPCLO	Defense Privacy and Civil Liberties Office
GC, DoD	General Counsel, Department of Defense
IG, DoD	Inspector General of the Department of Defense
POC	point of contact
UCMJ	Uniform Code of Military Justice
U.S.C.	United States Code
WHS	Washington Headquarters Service

PART II. DEFINITIONS

These terms and their definitions are for the purpose of this Instruction.

chief civil liberties officer. Senior Service member or civilian employee with authority to act on behalf of the Component Head and to direct the Component's compliance with Reference (a) and the DoD Civil Liberties Program.

civil liberties. Fundamental rights and freedoms protected by the Constitution of the United States.

complaint. An assertion alleging a violation of privacy and/or civil liberties.

primary civil liberties POC. Service member or civilian employee who is responsible for fulfilling the responsibilities of the DoD Civil Liberties Program within the Component, as directed by the chief civil liberties officer.

privacy. The right to privacy recognized under the Constitution of the United States.

violation of civil liberties. Undue government interference with the exercise of fundamental rights and freedoms protected by the Constitution of the United States.