



Department of Defense

DIRECTIVE

NUMBER 5111.14
March 22, 2005

DA&M

SUBJECT: Assistant Secretary of Defense for International Security Policy (ASD(ISP))

- References:
- (a) Title 10, United States Code
 - (b) DOD Directive 5111.8, "Assistant Secretary of Defense for Strategy and Threat Reduction (ASD(S&TR))," February 3, 2000 (hereby canceled)
 - (c) Deputy Secretary of Defense Memorandum, "Establishment of the Assistant Secretary of Defense for International Security Policy," July 20, 2001 (hereby canceled)
 - (d) Secretary of Defense Memorandum, "Implementation of the Nuclear Posture Review (NPR) – Phase II," March 24, 2003
 - (e) through (k), see enclosure 1

1. PURPOSE

Pursuant to the authority vested in the Secretary of Defense under Section 113 of reference (a), this Directive:

1.1. Establishes the position of the Assistant Secretary of Defense for International Security Policy (ASD(ISP)), with the responsibilities, functions, relationships, and authorities as prescribed herein.

1.2. Supersedes references (b) and (c).

2. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense (OSD), the Military Departments, the Chairman of the Joint Chiefs of Staff, the Combat Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DOD Field Activities, and all other organizational entities in the Department of Defense (hereafter referred to collectively as the "DOD Components").

3. RESPONSIBILITIES AND FUNCTIONS

The ASD(ISP) is the principal advisor to the Secretary of Defense and the Under Secretary of Defense for Policy (USD(P)) on political/military policy and issues of DOD interest that relate to select foreign regions and nations and to the functional areas of arms control, space, technology and information security, nonproliferation and counterproliferation. In this capacity, the ASD(ISP) shall:

3.1. Develop DOD policy for:

3.1.1. The development, integration, and periodic assessment of the New Triad pursuant to Secretary of Defense Memorandum dated March 24, 2003 (reference (d)). The New Triad consists of nuclear, non-nuclear, non-kinetic strike capabilities, active and passive defenses, and a responsive infrastructure with corresponding command and control, planning, and intelligence functions. Matters related to intelligence shall be coordinated, as appropriate, with the Under Secretary of Defense for Intelligence (USD(I)).

3.1.2. U.S. nuclear and advanced non-nuclear deterrent forces, including the employment, force structure requirements and posture, and the effective control of nuclear forces.

3.1.3. Interactions on nuclear matters with other nuclear states, as appropriate.

3.1.4. U.S. ballistic missile defenses, including employment, force structure requirements, posture and deployment plans, and policy guidance to the Missile Defense Agency.

3.1.5. Sharing U.S. ballistic missile early warning data and defense capabilities with other states and for ballistic missile defense cooperation with friends and allies.

3.1.6. Arms control negotiations, implementation, and verification related to nuclear, chemical, biological, and conventional weapon systems pursuant to DOD Directive 2060.1 (reference (e)).

3.1.7. Cooperative threat reduction with the states of the former Soviet Union and elsewhere, including the safety, security and dismantlement of weapons of mass destruction and associated materials and infrastructure.

3.1.7.1. Provide policy guidance, goals and objectives, and oversight for the DOD Cooperative Threat Reduction Program to ensure the program achieves DOD policy objectives and is consistent with national security policy. In coordination with the Assistant to the Secretary of Defense for Nuclear, Chemical and Biological Defense Programs (ATSD(NCB)), advise the Secretary of Defense through the USD(P) and Under Secretary of Defense for Acquisition, Technology and Logistics (USD(AT&L)) when threat reduction programs are at risk of not meeting implementation objectives. Coordinate on DOD cooperative threat reduction matters with the USD(AT&L) through the ATSD(NCB).

3.1.7.2. Coordinate cooperative threat reduction issues with the non-proliferation activities of other Federal Agencies and present DOD positions on cooperative threat reduction in legislative and public fora.

3.1.8. Nonproliferation of nuclear, chemical, biological, and advanced conventional weapons and missiles, and international technology transfers.

3.1.9. DOD counterproliferation activities. Serve as the Executive Secretary of the Counterproliferation Council and chair the North Atlantic Treaty Organization (NATO) Defense Group on Proliferation.

3.1.10. Strategies toward Russia, the Balkans, and the states of Eurasia. Develop position recommendations, coordinate policy matters concerning security cooperation and assistance and military-to-military contacts pertaining to these states, and be cognizant of DOD activities in these states, as appropriate. Develop plans and policies that serve to encourage these states to consolidate democracy, reform their economic systems, and establish smaller, more efficient defensively oriented, democratically accountable armed forces.

3.1.11. The international transfers of technology, goods, services, and munitions pursuant to DOD Directive 2040.2 (reference (f)). Serve as the DOD representative to the Advisory Committee on Export Control Policy.

3.1.12. National security activities in outer space and DOD space-related programs and capabilities. Intelligence matters shall be coordinated with the USD(I).

3.1.13. Review and control of sensitive information disclosures to foreign citizens and development of general security of military information agreements.

3.2. In the areas of assigned responsibility, provide information input to the Intelligence Community for intelligence tasking, collection, and analysis. Integrate proliferation intelligence information, proliferation threat assessments, and proliferation-related information received from other sources into the policy formulation process. Coordinate such matters with the USD(I).

3.3. Promote coordination, cooperation, and joint planning on nuclear policy and strategy with NATO allies, including responsibilities regarding the NATO Nuclear Planning Group and its subordinate body, the High Level Group. Chair the High Level Group.

3.4. In coordination with the Chairman of the Joint Chiefs of Staff, the Combatant Commands, and other Department of Defense and Federal Agencies, as appropriate, develop national security policies and strategies related to countries and international organizations in Europe. As such, develop policies and programs that enhance bilateral and multilateral defense and military relations, promote defense cooperation, and improve interoperability between security structures in Europe and the United States.

3.5. Be cognizant of defense policies related to Europe and policy developed related to the NATO, Euro-Atlantic Partnership Council, the Partnership for Peace, and other European institutions with a security dimension including the Organization for Security and Cooperation in Europe, and the European Union.

3.6. Provide policy formulation and coordination oversight to the Defense Advisor, U.S. NATO, on behalf of the USD(P), pursuant to DOD Directive 5111.1 (reference (g)).

3.7. Represent the Secretary of Defense and the USD(P) in interagency deliberations and international negotiations dealing with assigned areas of responsibility.

3.8. Prepare the nuclear, missile defense and counterproliferation sections of the Secretary of Defense's Annual Report to the President and the Congress.

3.9. Consult with the DOD Executive Agent for Space on the formulation and implementation of space policy, as appropriate. Intelligence matters shall be coordinated with the USD(I).

3.10. Perform such other functions as the Secretary of Defense or the USD(P) may prescribe.

4. RELATIONSHIPS

4.1. In the performance of assigned functions and responsibilities, the ASD(ISP) under the authority, direction, and control of the Secretary of Defense and the USD(P), shall:

4.1.1. Oversee the Deputy Under Secretary of Defense for Technology Security Policy and Counterproliferation in the execution of DOD responsibilities pursuant to Section 134b of reference (a).

4.1.2. Exercise authority, direction, and control over the Director, Defense Technology Security Administration.

4.1.3. Under the USD(P), oversee the activities of Executive Agents assigned within the functional areas addressed herein pursuant to DoD Directive 5101.1 (reference (h)).

4.1.4. Coordinate and exchange information with the OSD principal staff assistants, the Heads of the DOD Components, DOD Executive Agents, and Federal officials having collateral or related functions. Intelligence matters shall be coordinated with the USD(I).

4.1.5. Use existing facilities and services of the Department of Defense and other Federal Agencies, whenever practicable, to avoid duplication and achieve efficiency and economy.

4.2. Other OSD officials and the Heads of the DOD Components shall coordinate with the ASD(ISP) on all matters related to the responsibilities and functions cited herein.

4.3. Nothing herein shall be interpreted to subsume or replace the responsibilities, functions, or authorities of the OSD Principal Staff Assistants, the Secretaries of the Military Departments, the Chairman of the Joint Chiefs of Staff, the Commanders of Combatant Commands, or the Heads of Defense Agencies or the DOD Field Activities prescribed by law.

5. AUTHORITIES

The ASD(ISP) is hereby delegated authority to:

5.1. Issue DOD Instructions, DOD Publications, and one-time directive-type memoranda, consistent with DOD 5025.1-M (reference (i)), that implement policy approved by the Secretary of Defense in assigned areas of responsibility. Instructions to the Military Departments shall be issued through the Secretaries of the Military Departments. Instructions to Combatant Commands, except in unusual circumstances, shall be communicated through the Chairman of the Joint Chiefs of Staff.

5.2. Obtain reports, information, advice, and assistance, consistent with DOD Directive 8910.1 (reference (j)), as necessary to carry out assigned functions.

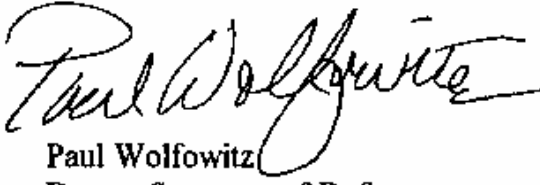
5.3. Communicate directly with the Heads of the DOD Components, as necessary to carry out assigned functions, including the transmission of requests for advice and assistance. Communications to the Military Departments shall be transmitted through the Secretaries of the Military Departments, their designees, or as otherwise provided in law or directed by the Secretary of Defense in other DOD issuances. Communications to the Commanders of the Combatant Commands, except in unusual circumstances, shall be transmitted through the Chairman of the Joint Chiefs of Staff.

5.4. Communicate with other Government officials, representatives of the legislative branch, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned responsibilities.

5.5. Negotiate and conclude international agreements in areas of assigned responsibility in accordance with DOD Directive 5530.3 (reference (k)) and in coordination with the General Counsel of the Department of Defense.

6. EFFECTIVE DATE

This Directive is effective immediately.



Paul Wolfowitz
Deputy Secretary of Defense

Enclosure - 1

E1. References, continued

E1. ENCLOSURE 1

REFERENCES, continued

- (e) DOD Directive 2060.1, "Implementation of, and Compliance with Arms Control Agreements," January 9, 2001
- (f) DOD Directive 2040.2, "International Transfers of Technology, Goods, Services, and Munitions," January 17, 1984
- (g) DOD Directive 5111.1, "Under Secretary of Defense for Policy" (USD(P)), December 8, 1999
- (h) DOD 5101.1, "DOD Executive Agent," September 3, 2002
- (i) DOD 5025.1-M, "DOD Directives System Procedures," March 5, 2003
- (j) DOD Directive 8910.1, "Management and Control of Information Requirements," June 11, 1993
- (k) DOD Directive 5530.3, "International Agreements," June 11, 1987