

SELECT COMMITTEE ON INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES

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PART A - BIOGRAPHICAL INFORMATION

1. FULL NAME: Avril Danica Haines
OTHER NAMES USED:

2. DATE AND PLACE OF BIRTH: Born on August 27, 1969, in New York City, New York.
CITIZENSHIP: I am a U.S. citizen

3. MARITAL STATUS: Married

4. SPOUSE'S NAME: David J. Davighi

5. SPOUSE'S MAIDEN NAME IF APPLICABLE: N/A

6. NAMES AND AGES OF CHILDREN: I have no children

NAME

AGE

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
University of Chicago	09/1988 to 06/1992	BA in Physics	06/1992
Georgetown University Law Center	08/1998 to 05/2001	JD	05/2001

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT).

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
PT Fund. Inc (Presidential Transition)	Head of National Security Policy and Planning	1401 Constitution Ave. Washington, DC	08/2020-Present
Columbia University	Senior Research Scholar Lecturer in Law Deputy Director of Columbia World Projects <i>(On a leave of absence since July 2020)</i>	605 W. 125 th Street New York, NY	09/2017-12/2020
Self-Employment			03/2017-07/2020
I was compensated for consulting or advisory work for a number of entities: (1) WestExec Advisors at 875 15th St. NW Ste 550, Washington, DC from October 2017 to July 2020; (2) Palantir Technologies at 100 Hamilton Ave. Palo Alto, CA from July 2017 to June 2020; (3) Johns Hopkins University Applied Physics Lab at 11100 Johns Hopkins Rd. Laurel, MD from February 2019 to July 2020; (4) Orin Kramer, 717 5th Ave. New York, NY in March 2017; (5) Tikehau Capital from August 2018 to July 2020; and (6) Carnegie Corporation of New York, 1779 Massachusetts Ave NW, Washington, DC in April and May 2017. I was also compensated for a few lectures or for participating on panels or in interviews from 2017 through to March 2020.			
Federal Government	Commissioner National Commission on Military, National, and Public Service	2900 Crystal Drive	09/2017-09/2020
Federal Government	Assistant to the President Principal Deputy National Security Adviser	1600 Pennsylvania Ave NW	01/2015-01/2017
Federal Government	Deputy Director of the CIA	1000 Colonial Farm Road McLean, VA	07/2013-01/2015
Federal Government	Deputy Assistant to the President Deputy Counsel to the President NSC Legal Adviser	1600 Pennsylvania Ave NW Washington, DC	12/2010-07/2013
Federal Government	Assistant Legal Adviser for Treaty Affairs	U.S. Department of State 2201 C Street	10/2008-11/2010
Federal Government	Deputy Chief Counsel Senate Committee on Foreign Relations (Detailed from the Executive)	446 Dirksen Senate Office Bldg	12/2006-10/2008
Federal Government	Attorney Adviser Deputy Assistant Legal Adviser	U.S. Department of State 2201 C Street	10/2003-12/2006
Georgetown University Law Center	Adjunct Professor	600 New Jersey Ave Washington, DC	01/2010-05/2010 09/2008-11/2008
U.S. Court of Appeals For the Sixth Circuit	Judicial Clerk	220 Gene Snyder Courthouse Louisville, KY	08/2002-08/2003
The Hague Conference On Private International Law	Legal Officer	6, Scheveningsweg 2517 KT The Hague, NL	09/2001-07/2002

Judge Buergenthal Intl Court of Justice	Research Assistant	Carnegieplein 2 2517 KJ The Peace Palace, The Hague	07/2001-09/2001
Piper Marbury Rudnick & Wolfe LLP	Summer Associate	6225 Smith Ave Baltimore, MD	05/2000-08/2000
Georgetown University Law Center	Research Assistant	600 New Jersey Ave NW Washington, DC	06/1999-05/2000
Self Employed Adrian's Book Cafe	Sole Proprietor of a bookstore cafe	714 S. Broadway Baltimore, MD	11/1992-08/1998

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8).

While only quasi-governmental, to the extent this is responsive, I was the President of the Fells Point Business Association from 1996-1998, an executive board member of the Baltimore East Harbor Empowerment Zone and a member of the Advisory Council for the Empower Baltimore Management Corporation from 1995-1998; and a board member of the Society for the Preservation of Federal Hill & Fells Point from 1997-1998.

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

When I worked as a lawyer at the State Department, I spent most of my time focused on treaties and the law of war or international humanitarian law and as a consequence, I developed expertise in the legal and policy frameworks through which the United States promotes its national security and intelligence interests internationally. As a lawyer for the Senate Committee on Foreign Relations, I worked on a range of issues, including legislative matters related to law enforcement, armed conflict, nuclear non-proliferation, intelligence and corruption. During my time on Senate staff, I had an opportunity to learn how a dialogue between the political branches on these issues could result in better policy outcomes for the United States and approaches that were more sustainable over time because they had greater buy-in throughout the government.

Coming back into the Executive Branch, first as the head of the treaty office and then as the legal adviser to the National Security Council, I began to learn more about the intelligence community, the specialized law that is relevant to intelligence activities, and spent a great deal of time focused on the legal and policy frameworks that surround the use of force, intelligence collection, and covert action. As the Deputy Director of the Central Intelligence Agency, I developed a deep understanding of the workforce, the operations, the budget, the structure of the intelligence community, what it means to be an intelligence official, and the extraordinary value of intelligence to our national security, foreign policy, and prosperity. I was able to view first-hand, the importance of the principles of professional ethics for the intelligence community, which are fundamental to the culture and success of the community and focus, as reflected in a document issued by the ODNI in 2014, on mission, truth, lawfulness, integrity, stewardship, excellence, and diversity. As Principal Deputy National Security Adviser, I gained experience in driving policy and had an opportunity to observe from a new perspective the critical role played

by the Director of National Intelligence as the head of the Intelligence Community and as the principal adviser on intelligence matters to the President. In that role, I also had an opportunity to become more familiar with the value provided by every IC component across the government and how the DNI can help to align its efforts to address the threats facing the United States and take advantage of the opportunities that present themselves in order to further our national interests.

After leaving government, I worked principally at Columbia University on a program called Columbia World Projects and through that lens, and in work with the private and public sector more generally, I had an opportunity to step back and consider that in light of today's connectivity, mobility, and interdependence, many of the most concerning and likely threats for the United States tend to be asymmetric and unconventional and thus our work in the intelligence community has never been more important to the national security of the country – yet, to be successful, we have to understand and work with new partners and drive toward a new level of innovation in our work. With the rise of empowered non-state actors, we must focus beyond state-to-state engagements and increasingly work with, among others, cities, states, the private sector, and academic institutions.

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT).

2017 Agency Seal Medal
2015 The Donovan Award for Excellence
2014 National Intelligence Distinguished Service Medal
2014 National Geospatial Intelligence Agency Award
2014 CIA Director's Award
2014 The Department of Treasury's Office of Intelligence Medallion
2013 Awarded the Agency Seal Medal
2010 Department of State's Superior Honor Award
2009 Senior Executive Service Performance Award
2008 Washington International Business Council Award
2007 Department of State's Superior Honor Award
2006 Department of State's Superior Honor Award
2004 Department of State's Superior Honor Award
1998 Award for Dedicated Community Service in Baltimore
Won City Paper's Best of Baltimore award for Independent Bookstores in 1997 for Adrian's Book Cafe

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS).

I didn't list affiliations that are already covered above in section 8.

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
Nuclear Threat Initiative's Bio Advisory Group	Member	02/2018-Present

American Bar Association's Standing Committee on Law and National Security	Member	08/2017-Present
D.C. Bar	Member	02/2003-Present
Advisory Council and the Governance Board for National Security Action	Member	03/2018-07/2020
World Economic Forum's Global Future Council on Geopolitics	Member	10/2018-07/2020
Advisory Group convened by the U.S. Holocaust Memorial Museum on Genocide Determinations	Co-Chair	04/2018-04/2019
Tikehau Capital's International Advisory Board	Member	08/2018-07/2020
Vodafone Foundation	Trustee	08/2018-07/2020
Syracuse University	Distinguished Professor of National Security Practice	03/2019-present
Refugees International Policy Advisory Council	Member	10/2019-present
Honorary Advisory Committee of the Leadership Council for Women in National Security	Member	06/2018-present
Foreign Policy program at the Brookings Institution	Nonresident Senior Fellow	08/2019-07/2020
Advisory Board for Foreign Policy for America	Member	08/2019-07/2020
Advisory Council for Network 20/20	Member	09/2019-07/2020
Board of the Obama Presidency Oral History Project	Special Advisor	08/2019-present
CSIS Technology and Intelligence Task Force	Co-Chair	04/2020-07/2020
CSIS Civics Planning Group	Member	05/2020-07/2020
Task Force on Democracy convened by the Alliance for Securing Democracy and supported by the German Marshall Fund.	Co-Chair	01/2020-07/2020
Advisory Board of Just Security	Member	11/2019-present
Fairfax National Security Solutions, LLC <i>I had an agreement with them for twelve months but I did not end up doing any consulting and was not on a retainer.</i>	Consultant	10/2017-10/2018
Human Rights Institute at Columbia University	Senior Fellow	09/2017-07/2020
National Security Law Program at Columbia University	Senior Fellow	09/2017-07/2020
Columbia University School of International and Public Affairs	Senior Fellow	10/2017-07/2020
Center on Global Energy Policy at Columbia University	Faculty Affiliate	03/2019-07/2020

National Commission on Military, National, and Public Service	Commissioner	9/2017-9/2020
Center for a New American Security	Board Member	01/2020-Present
Carnegie Endowment for International Peace's Encryption Working Group	Member	03/2018-05/2020

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, BLOGS AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES OR REMARKS YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT, TRANSCRIPT, OR VIDEO). IF ASKED, WILL YOU PROVIDE A COPY OF EACH REQUESTED PUBLICATION, TEXT, TRANSCRIPT, OR VIDEO?

See below all writings and remarks that I can recall that are responsive to the Committee's request. In many cases, my remarks were informal and/or I spoke without written remarks prepared in advance and consequently there may be no hardcopy available but I will, of course, provide a copy of any requested publication, text, transcript, or video to the extent one is available.

June 9, 2020 – Webinar: Strengthening the Civic Fabric of the Nation at Brookings.

April 16, 2020 – ABA Podcast on Public Service and National Security

March 6, 2020 – Keynote on cybersecurity at Tufts University

February 18, 2020 – Decision-making and Technology Under the Nuclear Shadow at CSIS

January 14, 2020 – ASIL podcast on International Law

December 2, 2019 – Rethinking the International Order at the Japan Institute of International Affairs

June 19, 2019 – Pod Save the World

May 28, 2019 – Lawfare Podcast on cyber operations

March 28, 2019 – Strengthening American Democracy Through Service hosted by the American Bar Association

January 23, 2019 – Intelligence Matters Podcast

May 25, 2018 – Skullduggery

December 17, 2017 – International Law and US Role in the World

November 13, 2017 Oxford Union Debate on Liberty and Security

October 10, 2017 – Talk on North Korea at Brookings

October 2, 2017 – Belfer Center Podcast

March 6, 2017 – Speech at Rider University

October 15, 2016 – a talk at Yale University on treaties

June 14, 2016 – Speech commemorating World Refugee Day

Sierra Leone's Mixed War Crime Tribunal in ACCOUNTABILITY FOR ATROCITIES: NATIONAL AND INTERNATIONAL RESOURCES 2003 (Jane Stromseth ed.).

Articles and reports:

Trump's Politicization of US Intelligence Agencies Could End in Disaster, April 28, 2020, FOREIGN POLICY MAGAZINE

Family Separation policies hurt US global standing, leadership, June 7, 2018, AXIOS

By Any Other Name: Thoughts on U.S. Genocide Determinations, April 17, 2019, COUNCIL ON FOREIGN RELATIONS

A Momentous Week for the Iran Nuclear Deal, May 18, 2017, POLITICO

Reports on Regional Activism: The Mid-Atlantic Region, 7 GEO J. ON POVERTY L. & POL'Y 230 (Summer 2000).

Carnegie Report on Encryption, September 10, 2019, Carnegie Endowment for International Peace

A Preface to Strategy: the Foundations of American National Security, May 16, 2018, JOHNS HOPKINS UNIVERSITY APPLIED PHYSICS LAB

Building Trust through Testing: Adapting DoD's Test & Evaluation, Validation & Verification Enterprise for Machine Learning Systems, including Deep Learning Systems, October 2020.

Linking Values and Strategy: How Democracies Can Offset Autocratic Advances, October 2020, ALLIANCE FOR SECURING DEMOCRACY

Why is it so difficult to construct an international legal framework for e-commerce? The Draft Hague Convention on Jurisdiction and the Recognition and Enforcement of Foreign Judgments in Civil and Commercial Matters: A Case Study, 3 EUROPEAN BUSINESS ORG. L. REV. 157 (2002).

Impact of the Internet on the Judgments Project: Thoughts for the Future, Prel. Doc. No. 17 of Feb. 2002, Special Commission of April 2002 on General Affairs and Policy of the Hague Conference on Private International Law available at www.hcch.net.

Choice of Court Agreements in International Litigation: Their Use and Legal Problems to Which They Give Rise in the Context of the Interim Text, Prel. Doc. No. 18 of Feb. 2002, Special Commission of April 2002 on General Affairs and Policy of the Hague Conference on Private International Law available at www.hcch.net.

Columbia World Project Reports – on the Columbia World Projects website:

Decarbonization Report
Inequality Report
Energy Access Report
Maternal Health Report
Cybersecurity Report
Disaster Preparedness Report

Testimony:

While in government, I testified in closed session on a number of occasions before SSCI and HPSCI. Since I left government, I have testified three times and was interviewed by SSCI once:

August 10, 2017 – Interviewed by SSCI staff on election interference.

July 2018 – Testified in a closed-door session before SSCI

December 5, 2017 – Testified before the SFRC on treaties

January 14, 2020 – Testified before the House Committee on Foreign Affairs on Iran

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE AS THE DIRECTOR OF NATIONAL INTELLIGENCE).

I have worked for close to two decades on different aspects of national security, intelligence, and foreign policy matters and through those experiences, developed extensive national security expertise both as a junior officer and as a leader. I have served in all three branches of the U.S. government, have worked as a lawyer, a policymaker, and an intelligence official, and have worked in several different executive branch entities. I have a rich understanding of how to leverage different parts of the U.S. government to serve U.S. national security interests and the various legal and policy frameworks through which the United States promotes its national security and intelligence interests. I have worked within the intelligence community and have been a customer of the intelligence community, and thus have a sense not only of how the

community operates but also an understanding of the expectations of the national security community outside of the intelligence community and how best to serve their national intelligence needs. I have worked with budgets in and out of government, have management experience, and have worked with international partners, to achieve results for the United States.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS).

Contributions:

Jaime Harrison for US Senate	\$250.00 3/2020
Biden for President	\$200.00 1/19/2020
Khazci for Congress	\$500.00 10/2019
Scott Cooper for Congress	\$250.00 6/2019
Dan for Colorado (Dan Baer for Congress)	\$250.00 04/2019
ACTBLUE	\$20.00 11/2017
Helmer for Congress	\$250.00 11/2017
Obama For America	\$500.00 11/2012
Obama For America	\$500.00 10/2008

Additionally, I worked as a volunteer for the Biden campaign in 2008 in Iowa, served on the President Elect's campaign as part of an informal group of senior advisors on national security and was the Head of National Security Policy and Agency Review for the Presidential Transition in 2020.

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE).

I have never run for elective public office

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED

STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

Neither I nor my spouse have represented foreign governments in any capacity. I have, however, served on boards and in organizations with former government officials. Specifically, I served on an international advisory board for Tikehau Capital from August 2018 to July 2020 with other former government officials, including several former Ambassadors. I also served on the Vodafone Foundation Trustee Board from August 2018 to July 2020, which had a few former foreign officials and since February 2018, I have been a member of the Bio Advisory Group for the Nuclear Threat Initiative, was on the World Economic Forum's Global Future Council on Geopolitics from October 2018 to July 2020, and since October 2019, I have been on the Refugees International Policy Advisory Council – again with some former foreign officials. I would be happy to provide you with the names of those individuals should that be useful.

I also served as a Nonresident Senior Fellow for the Foreign Policy program at the Brookings Institution from August 2019 to July 2020; as an adjunct professor at Georgetown University Law Center in 2008 and 2010; and at Columbia University for the last few years and in each of these institutions, there are a number of foreign government officials who serve as experts, teachers, or staff.

Furthermore, when I worked for a year at the Hague Conference on Private International Law, which is an intergovernmental organization, from the summer of 2001 to the summer of 2002, a number of associates who worked for the conference were former foreign officials. In the summer of 2001, I also worked for the American judge on the International Court of Justice, Thomas Buergenthal, and the other judges on the court were mostly former foreign officials of the governments that appointed them to the court, though I had extremely little contact with them.

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No.

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

None. My financial interests consist of diversified mutual funds, real property and cash or cash equivalents. I will follow the advice of government ethics officials to address any conflict of interest issues identified.

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

I intend to sever all business connections in the event that I am confirmed.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE

FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

I plan to continue to participate in Columbia University's TIAA Retirement Plan for Officers and TIAA Voluntary Savings Plan so long as the appropriate ethics officials approve such participation. I have no severance pay, pension rights, stock options or deferred income arrangements with any employer or former employer.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

If confirmed, I don't know what I will do after serving in the government again. I have no agreements or understandings concerning employment after leaving government service.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

N/A

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

My husband is not employed.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

INFORMATION REDACTED

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

I received food and/or wine from the following companies that likely exceeded \$100:

Vodafone Foundation (holiday gift)

Bridgewater Associates (bottles of wine sent for referring someone to them who they hired)

Bill Eimeke (Professor at Columbia gave me a food and wine gift for lecturing his class)

Schmidt Futures (grab bag of clothing, books, etc. associated with three different conferences)

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED)

DESCRIPTION OF PROPERTY

VALUE

METHOD OF VALUATION

Please refer to Schedule A of my OGE 278 Form.

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR

PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

NATURE OF OBLIGATION

NAME OF OBLIGEE

AMOUNT

Please refer to Schedule C of my OGE 278 Form.

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

We are not in default on any loan, debt, or other financial obligation but a few years ago, we had a dispute with Foundry Lofts, our landlord at the time, over money they claimed to be owed (\$629.27) for 10 days rent in October 2017, after our lease had expired and after we had vacated the apartment. While we continued to have discussions with the company to try to straighten out the bill, Foundry Lofts referred the bill to a collection agency and eventually, rather than continue to dispute the claim, we paid it in October 2018. Finally, I have been refused credit -- when I first started the bookstore café at the end of 1992, and applied for a business loan for the business, I was turned down.

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

INFORMATION REDACTED

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes.

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

In the last few years, we have filed tax returns in the following jurisdictions:

New York
Maryland
Washington D.C.

And for calendar year 2020 taxes, I will need to file in Virginia.

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

No.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

I am a lawyer and a member of the DC Bar, but I have not worked as a lawyer and therefore have had no legal clients in the last five years. I have, however, also been a consultant and lecturer, and have had the following clients and customers:

WestExec Advisors

Microsoft
JP Morgan Chase
Open Philanthropy Project
Palantir
Tikehau Capital
Johns Hopkins Applied Physics Lab
Orin Kramer
Facebook
University of Chicago
Carnegie Corporation
Center for a New American Security
Duke University
Johns Hopkins SAIS
Campden Conference
Dartmouth Trustees
NY Historical Society
RAND Corporation
Uber Technologies
Center for Strategic & International Studies

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

No. My financial interests consist of diversified mutual funds, real property and cash or cash equivalents. I will follow the advice of government ethics officials to address any conflict of interest issues identified.

37. IF APPLICABLE, LIST THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE REPORTS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. IF ASKED, WILL YOU PROVIDE A COPY OF THESE REPORTS?

I have had to file OGE 450 financial disclosure forms as a consequence of my role on the National Commission on Military, National, and Public Service and if requested, I will provide a copy of these reports to the Committee.

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE

AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PLEASE PROVIDE DETAILS.

No.

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PLEASE PROVIDE DETAILS.

No.

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PLEASE PROVIDE DETAILS.

No.

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PLEASE PROVIDE DETAILS.

Yes – I was interviewed by SSCI staff and testified in a closed-door session before SSCI in association with the committee's inquiry into Russian intelligence activities.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PLEASE PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

Not to the best of my knowledge.

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No.

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

Yes – I took a polygraph as part of my onboarding into the CIA as Deputy Director.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No.

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE DIRECTOR OF NATIONAL INTELLIGENCE AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS, RESPECTIVELY, IN THE OVERSIGHT PROCESS.

I believe that effective congressional oversight is critical to the Intelligence Community. In general, when it comes to activities that must be conducted secretly, such as certain aspects of military operations and intelligence activities, the appropriate committees in the Congress with access to relevant classified information needed to provide oversight, are expected to ensure that the Executive Branch is held accountable and that its programs are being reviewed appropriately for lawfulness, are ethical, and are wise. This is particularly important in light of the fact that the public does not have access to this information and consequently must rely on their congressional representatives' judgment and engagement to effectively oversee the executive branch's activities in this realm. As reflected in the law, the Director of National Intelligence has a responsibility to ensure that the congressional intelligence committees are kept fully and currently informed of U.S. intelligence activities, including any significant anticipated intelligence activity, so as to allow the committees to fulfill their oversight responsibilities.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE DIRECTOR OF NATIONAL INTELLIGENCE.

The Director of National Intelligence serves as the head of the intelligence community (IC); oversees the integration of its intelligence functions; oversees and directs the implementation of the National Intelligence Program budget, as well as the support the IC provides to decision-makers across the government; and serves as the principal intelligence adviser to the President.

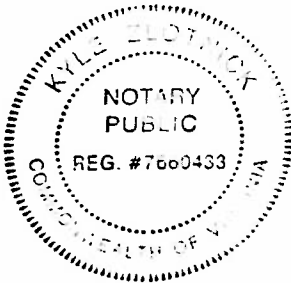
AFFIRMATION

I, **AVRIL DANICA HAINES**, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

December 30, 2020
(Date)

SIGNATURE OF AVRIL DANICA HAINES

Alexandria, VA
12/30/2020



SIGNATURE OF NOTARY

TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the Director of National Intelligence, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

SIGNATURE OF AVRIL DANICA HAINES

Date: December 30, 2020