

SELECT COMMITTEE ON INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES

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PART A - BIOGRAPHICAL INFORMATION

1. NAME: Francis Xavier Taylor
2. DATE AND PLACE OF BIRTH: October 22, 1948, Washington, DC
3. MARITAL STATUS: Married
4. SPOUSE'S NAME: [REDACTED]
5. SPOUSE'S MAIDEN NAME IF APPLICABLE: [REDACTED]
6. NAMES AND AGES OF CHILDREN:

NAME

AGE

[INFORMATION REDACTED]

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
University of Notre Dame	Sep 1966 – June 1970	BA	June, 1970
University of Notre Dame	Sep 1972 – Aug 1974	MA	Aug 1974
Armed Forces Staff College	Aug 1983 – Jan 1984	N/A	Jan 1984
Air War College	Aug 1987 - Jun 1988	N/A	Jun 1988

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT.)

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
AF Office of Special Investigations	Special Agent	Tinker AFB, OK	06/70 – 11/70
AF Office of Special Investigations	Counterintelligence Analyst	Washington, DC	11/70 – 08/72
AF Office of Special Investigations	Counterintelligence Analyst	Washington, DC	09/74– 07/76
AF OSI District 69	Chief, Acquisitions & Analysis	Ankara, Turkey	07/76 – 11- 77

AF OSI District 4	Commander, AFOSI Det 411	Bolling AFB, DC	11/77 – 07/79
HQ AFOSI Director of Personnel	Chief, Assignments	Bolling, AFB, DC	07/79 – 09/80
HQ AFOSI Command Section	Asst Executive Officer	Bolling AFB, DC	09/80 – 07/83
OSD DUSD (Policy)	Dep Dir, Operations, Dir of CI	Pentagon, VA	01/84 – 07/87
USAF 487 Combat Support Gp	Deputy Commander	Comiso AS, IT	07/88 – 07/90
AFOSI District 45	Commander	Osan AB, RK	07/90 – 07/92
AFOSI Region 2	Commander	Langley, AFB, VA	07/92 – 07/94
HQ AFOSI Dir of Mission Guidance	Director	Bolling AFB, DC	07/95 – 05/95
SECAF Office of the IG	Director, Special Investigations	Pentagon, VA	08/95 – 07/96
HQ AFOSI	Commander, AFOSI	Bolling AFB, DC	06/1996 – 07/2001
US Dept of State	Counterterrorism Coordinator	Washington, DC	07/2001 – 11/2002
State Dept Bur of Dip Security	Assistant Secretary	Washington, DC	11/2002 – 03/2005
General Electric Company	VP & Chief Security Officer	Fairfield, CT	03/2005 – 11/2013
FXTaylor Associates LLC	President and CEO	Ft. Washington, MD	11/2013 - Present

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8):

- Member, Privacy and Civil Liberties Oversight Board- 2006-2007
- Senior Advisor, SECDEF Independent Review Group, Washington Navy Yard Shooting, 2013

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

I have served most of my military career in counterintelligence roles at every level from the field to OSD. I have directed information collection operations, managed sources of information and directed offensive counterintelligence operations. I was tasked to establish the first DOD Computer Forensics capability. I have used intelligence to conduct antiterrorism and counterterrorism activities for the USAF and the State Department. I have been involved in the development and implementation of intelligence policy for the USAF, DOD and the US Government.

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT):

- US Department of State Distinguished Honor Award
- US Distinguished Service Medal
- US National Intelligence Distinguished Service Medal
- The Legion of Merit Medal
- Department of Defense Superior Service Medal
- Air Force Meritorious Service Medal
- Air Force Commendation Medal
- Air Force Achievement Medal
- National Defense Service Medal
- Women in Federal Law Enforcement Leadership Award
- University of Notre Dame Alumni Association Father Corby Award for Outstanding Military Service
- Black Alumni of Notre Dame 50 Black Exemplars
- University of Notre Dame Air Force ROTC Distinguished Graduate

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS):

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
• American Corporate Partners	Director	01/2008 to Present
• Center for Strategic and International Studies		
○ Advisory Board and Senior Advisor		11/ 2013 to Present
• National Organization of Black Law Enforcement Executives		
○ Board of Directors		07/2008 to 07/2009
○ Member		07/1992 to Present
• International Security Managers Association		
○ Member and Director		05/2005 to Present

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT OR TRANSCRIPT. TO THE EXTENT POSSIBLE, PLEASE PROVIDE A COPY OF EACH SUCH PUBLICATION, TEXT, OR TRANSCRIPT):

Remarks to the Pacific Council of International Policy's Annual Conference, 11/13/04

- Text available at: [Nexis](#)

No knowledge of any other speeches or writings.

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE IN THE POSITION FOR WHICH YOU HAVE BEEN NOMINATED):

I believe that I am qualified to serve in the position for which I have been nominated because I have more than 43 years experience working in or with the US Intelligence Community. I have served as a counterintelligence analyst and operator for the Air Force in the CONUS and Overseas. I have been a consumer of intelligence at the Department of State and at the General Electric Company. I have served at the Executive Level of several intelligence community organizations and believe that I bring a unique perspective as both an operator and a consumer of intelligence, both in and out of government.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS):

I have never participated in any political activities. I am an Independent and have not registered for any political party. As a GE Executive, I voluntarily contributed to the GE Political Action Committee (GEPAC); however, I had no role in how those contributions were used by the company to contribute to political activities. I also contributed to the following political campaigns:

- Obama for President, 3/3/2012, \$500
- Friends of Jim Clyburn, 10/21/2009, \$2000
- Marcia Fudge for Congress, 11/23/2010, \$1000
- Jim Himes for Congress, 6/25/2010, \$500
- GE PAC 10/2009 to 10/2013, \$12,955

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE):

None

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE

UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP. **NO**

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP. **NO**

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS. **NO**

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS. **NO**

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY. **NONE**

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED. **NONE**

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

YES. AS INDICATED IN MY SF278, I CONTINUE TO HAVE DEFERRED COMPENSATION AND VESTED STOCK OPTIONS WITH THE GENERAL ELECTRIC COMPANY THAT WILL BE PAID DURING MY GOVERNMENT SERVICE. I HAVE SIGNED AN ETHICS AGREEMENT THAT HAS OUTLINED WHAT I MUST DO TO AVOID ANY CONFLICT OF INTEREST FROM THESE OBLIGATIONS.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

ALL LISTED IN THE SF 278

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS. **NO**

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

UPON THE COMPLETION OF MY GOVERNMENT SERVICE, I PLAN TO REACTIVATE MY COMPANY, FXTAYLOR ASSOCIATES, LLC.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS. **N/A**

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE

INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

MY SPOUSE IS THE CO-OWNER OF A CATERING BUSINESS THAT HAS NO ASSOCIATION WITH THE POSITION FOR WHICH I HAVE BEEN NOMINATED.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

<u>NAME OF ENTITY</u>	<u>POSITION</u>	<u>DATES HELD</u>	<u>SELF OR SPOUSE</u>
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[INFORMATION REDACTED]

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

NONE

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

<u>DESCRIPTION OF PROPERTY</u>	<u>VALUE</u>	<u>METHOD OF VALUATION</u>
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[INFORMATION REDACTED]

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

NATURE OF OBLIGATION

NAME OF OBLIGEE

AMOUNT

ALL LISTED IN SCHEDULE C OF THE SF 278

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS. **NO**

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

I HAVE SUBMITTED MY TAX RETURNS TO MEET THIS REQUIREMENT.

	200_	200_	200_	200_	200_
SALARIES					
FEES					
ROYALTIES					
DIVIDENDS					
INTEREST					
GIFTS					
RENTS					
OTHER					
TOTAL					

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS? **YES**

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

In addition to our federal income tax returns, my spouse and I have filed Maryland and Connecticut income tax returns.

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

In August 2013, I received an examination letter from the IRS requesting additional information on the basis for the mortgage interest deduction that I claimed on my 010 tax return. I submitted the information requested and the IRS informed me that the information I submitted satisfied their questions.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE. N/A

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

NO; HOWEVER, I WILL DIVEST OF ANY INVESTMENT DEEMED BY OGE TO PRESENT A CONFLICT OF INTEREST. I WILL SUBMIT ALL POTENTIAL FUTURE PURCHASES TO DHS ETHIC OFFICIAL FOR PRELIMINARY REVIEW.

36. IF APPLICABLE, ATTACH THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE FORMS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. N/A

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PROVIDE DETAILS. NO

See answer to question 44.

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PROVIDE DETAILS. **NO**
40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PROVIDE DETAILS. **NO**
41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS. **NO**
42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PROVIDE DETAILS. **NO**
43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.) **NO**
44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PROVIDE DETAILS.

IN 1998 THE DOD IG RECEIVED A COMPLAINT FROM A MEMBER OF MY COMMAND THAT ALLEGED THAT I HAD IMPROPERLY USED THE RESULTS OF A POLYGRAPH EXAMINATION OF THE INDIVIDUAL TO TAKE ACTION TO DECERTIFY HIM AS AN AFOSI SPECIAL AGENT. I WAS INFORMED THAT THE ALLEGATIONS WERE UNSUBSTANTIATED.

IN 2006, DURING THE CONDUCT OF AN FBI BACKGROUND INVESTIGATION PRIOR TO MY SELECTION AS A MEMBER OF THE PRESIDENT'S PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD, I WAS INFORMED BY THE FBI AGENT CONDUCTING THE

INVESTIGATION THAT AN INSPECTOR GENERAL COMPLAINT HAD BEEN FILED AGAINST ME AT THE STATE DEPARTMENT. THE COMPLAINT ALLEGED THAT I HAD IMPROPERLY INTERFERED WITH THE DIPLOMATIC SECURITY PROMOTION BOARD BY INQUIRING ABOUT THE COMPOSITION OF THE PROMOTION PANEL. AS FAR AS I KNOW, THE STATE DEPARTMENT IG RECORDED THE COMPLAINT BUT TOOK NO FURTHER ACTION. I WAS NOT MADE AWARE OF ANY COMPLAINT BY THE STATE DEPARTMENT IG OR ANY OTHER OFFICIAL AT STATE.

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL. **NO**

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

YES. DURING MY TENURE IN AFOSI, I WAS REQUIRED TO UNDERGO A PERIODIC COUNTERINTELLIGENCE SCOPE POLYGRAPH. I DO NOT RECALL THE NUMBER OF EXAMS THAT I TOOK.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN. **NO**

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE _____ AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS RESPECTIVELY IN THE OVERSIGHT PROCESS.

CONGRESSIONAL OVERSIGHT ESSENTIALLY MEANS THAT THE CONGRESS HAS THE AUTHORITY AND RESPONSIBILITY TO ENSURE THAT ALL US INTELLIGENCE OPERATIONS ARE CONDUCTED CONSISTENT WITH THE US CONSTITUTION AND US LAW. I BELIEVE THAT CONGRESS SHOULD BE KEPT FULLY INFORMED OF US INTELLIGENCE ACTIVITIES TO MEET THIS OVERSIGHT RESPONSIBILITY. I BELIEVE THAT I HAVE THE RESPONSIBILITY TO KEEP CONGRESSIONAL COMMITTEES FULLY AND TRUTHFULLY INFORMED OF MATTERS UNDER MY AUTHORITY

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE UNDERSECRETARY OF HOMELAND SECURITY FOR INTELLIGENCE AND ANALYSIS. THE OFFICE IS RESPONSIBLE FOR THE OVERSIGHT AND EXECUTION OF THE NATIONAL INTELLIGENCE PROGRAM WITHIN DHS. THE INCUMBANT IS THE DHS CHIEF INTELLIGENCE OFFICER AND IS RESPONSIBLE FOR THE COORDINATION OF INTELLIGENCE OPERATIONS ACROSS THE HOMELAND SECURITY INTELLIGENCE ENTERPRISE.

DISTRICT OF COLUMBIA :

AFFIRMATION

I, FRANCIS X. TAYLOR, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

2/25/2014
(Date)

[SIGNATURE]

(Name)

ACKNOWLEDGED BEFORE ME ON 2.25.2014.

[SIGNATURE]



AGNES YACKSHAW
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires October 14, 2016

TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be UNDER SECRETARY, INTELLIGENCE AND ANALYSIS, DEPARTMENT OF HOMELAND SECURITY, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

[SIGNATURE]

Signature

Date: 2/25/2014

Executive Branch Personnel Public Financial Disclosure Report

Date of Appointment, Candidacy Election, or Nomination (Month/Day/Year) Reporting Individual's Name: TAYLOR Position for Which Filing: UNDER SECRETARY, INTELLIGENCE AND ANALYSIS Location of Present Office (or forwarding address): C/O C. Allen, 245 Murray Lane, MSC 0485, Washington DC 20528 Position(s) held with the Federal Government During the Preceding 12 Months (If Not Same as Above): Presidential Nominee Subject to Senate Confirmation		Reporting Status (Check Appropriate Boxes) Incumbent: <input type="checkbox"/> New Entrant, Nominee, or Candidate: <input checked="" type="checkbox"/> Termination Piler: <input type="checkbox"/>		Calendar Year Covered by Report 2014		Termination Date (If Applicable) (Month/Day/Year) X	
Reporting Individual's Name TAYLOR Title of Position UNDER SECRETARY, INTELLIGENCE AND ANALYSIS		First Name and Middle Initial FRANCIS Department or Agency (If Applicable) DEPARTMENT OF HOMELAND SECURITY		Telephone No. (Include Area Code) 202-447-3514		Do You Intend to Create a Qualified Diversified Trust? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Reporting Period Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable. Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable. Nominees, New Entrants and Candidates for President and Vice President: Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing. Schedule B—Not applicable. Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing. Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing. Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.		Signature of Reporting Individual [SIGNATURE]		Date (Month, Day, Year) Feb 14, 2014		Agency Use Only	
Signature of Other Reviewer [SIGNATURE]		Date (Month, Day, Year) 2/21/14		Agency Use Only		OGH Use Only	
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).		Signature of Agency Ethics Official/Reviewing Official [SIGNATURE]		Date (Month, Day, Year) 2/29/14		Agency Use Only	
Office of Government Ethics Use Only		Signature of Reviewing Official (If additional space is required, use reverse side of this sheet) [SIGNATURE]		Date (Month, Day, Year) 2/29/14		Agency Use Only	
Comments of Reviewing Officials (If additional space is required, use reverse side of this sheet) (Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/> (Check box if comments are continued on the reverse side) <input type="checkbox"/>		Agency Use Only		OGH Use Only		Agency Use Only	

SCHEDULE A

Reporting individual's Name
 TAYLOR, FRANCIS X

Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B										BLOCK C										Date (Mo., Day, Yr.) Only if Honoraria					
	Type										Amount															
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	Over \$25,000,000	Over \$50,000,000	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000		Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse). None <input type="checkbox"/>																										
Examples Central Airlines Common Doe Jones & Smith, Hometown, State Kempstone Equity Fund IRA: Heartland 500 Index Fund		x																								Law Partnership Income \$130,000
1 AKRE FOCUS FUND (AKREX)		x																								
2 AMERICAN GROWTH FUND A (AGTHX)																										
3 AQR MANAGED FUTURES FUND (AQMNX)																										
4 ARBITRAGE FUND (ARBFX)																										
5 ABERDEEN SELECT INTERNATIONAL EQUITY FUND II CLASS A (JETAX)																										
6 ARTESIAN INTERNATIONAL INVESTOR FUND (ARTIX)																										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
 TAYLOR, FRANCIS X

SCHEDULE A continued
 (Use only if needed)

Page Number

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Assets and Income	BLOCK B Valuation of Assets at close of reporting period												BLOCK C Amount										Date (Mo., Day, Yr.) Only if Honoraria				
	BLOCK A												BLOCK C														
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000		Over \$5,000,000	Other Income (Specify Type & Actual Amount)		
Type	Dividends	Rent and Royalties	Interest	Capital Gains																							
1 GE STOCK (GE)																											
2 GE VESTED STOCK OPTIONS																											
3 GE DEFERRED SALARY PLAN (DEFERRED COMPENSATION : CASH RECEIVABLE)																											
4 GE DEFERRED COMPENSATION PLAN (S&P 500 INDEX FUND)																											
5 GE DEFERRED COMPENSATION PLAN (GE STOCK)																											
6 GROWTH FUND OF AMERICA (GFAFX)																											
7 INVESCO VAN KAMPEN VALUE FUND (VVOAX)																											
8 JOHN HANCOCK GL ABSO RETURN STRATS CL A (JHAAX)																											
9 KEELEY SMALL CAP VALUE CLASS A (KSCVX)																											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
 TAYLOR, FRANCIS X

SCHEDULE A continued
 (Use only if needed)

Page Number

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Assets and Income	BLOCK B Valuation of Assets at close of reporting period											BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.											Date (Mo., Day, Yr.) Only if Honoraria			
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000		Over \$5,000,000	Other Income (Specify Type & Actual Amount)	
													Dividends	Rent and Royalties	Interest	Capital Gains										
1 ROYCE OPPORTUNITY FUND (RYPNX)																										
2 SOUND SHORE FUND INC																										
3 MD COLLEGE FUND T. ROWE PRICE BALANCED PORTFOLIO																										
4 MD COLLEGE FUND T. ROWE PRICE PORTFOLIO 2030																										
5 US SAVINGS BOND																										
6 USAA FIRST START GROWTH FUND (UFGX)																										
7 YACKIMAN FOCUSED FUND (YAFFX)																										
8 WASATCH LONG/SHORT FUND (FMLSX)																										
9 VIRTUS EMERGING MARKET OPPORTUNITY (HEMZX)																										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

SCHEDULE D

Reporting Individual's Name
TAYLOR, FRANCIS X

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

Examples	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
	Natl Assn. of Rock Collectors, NY Doe Jones & Smith, Hometown, State	Non-profit, education Law firm	President Partner	6/92 7/85	Present 1/00
1	GENERAL ELECTRIC CORPORATION	GLOBAL CONGLOMERATE	VICE PRESIDENT AND CHIEF SECURITY OFFICER	03/2005	11/2013
2	UNA CHAPMAN COX FOUNDATION	NON PROFIT, FOREIGN POLICY	POLICY Council	01/2009	PRESENT
3	AMERICAN CORPORATE PARTNERS	NON PROFIT, VETERANS OUTREACH	DIRECTOR	01/2008	PRESENT
4	INTERNATIONAL SECURITY MANAGEMENT ASSOCIATION	PROFESSIONAL	DIRECTOR	05/2005	PRESENT
5	FXTAYLOR ASSOCIATES LLC (INACTIVE)	SECURITY CONSULTING	PRESIDENT AND CEO	11/2013	PRESENT
6					

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

None

Examples	Source (Name and Address)	Brief Description of Duties
	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Hometown, State	Legal services Legal services in connection with university construction
1	GENERAL ELECTRIC COMPANY, FAIRFIELD CT 06828	VICE PRESIDENT AND CHIEF SECURITY OFFICER
2		
3		
4		
5		
6		