

National Exercise Program (NEP)

WJTSC 07-1
March 8, 2007



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Challenges for Federal Exercises

- Support U.S. Government Officers' preparation for managing national crises, and accountability of those who support them
- Limit *ad hoc* requests for U.S. Government Officers' involvement
- Maximize value of that involvement: make it worthwhile
 - Exercise what is important at a strategic/policy level
 - Exercise what is important, in sufficient depth
 - Follow through on exercises and correct/improve elements of national response policy and capability
- Avoid temptation to *overcentralize* and stifle needed exercise activity at operational and tactical levels



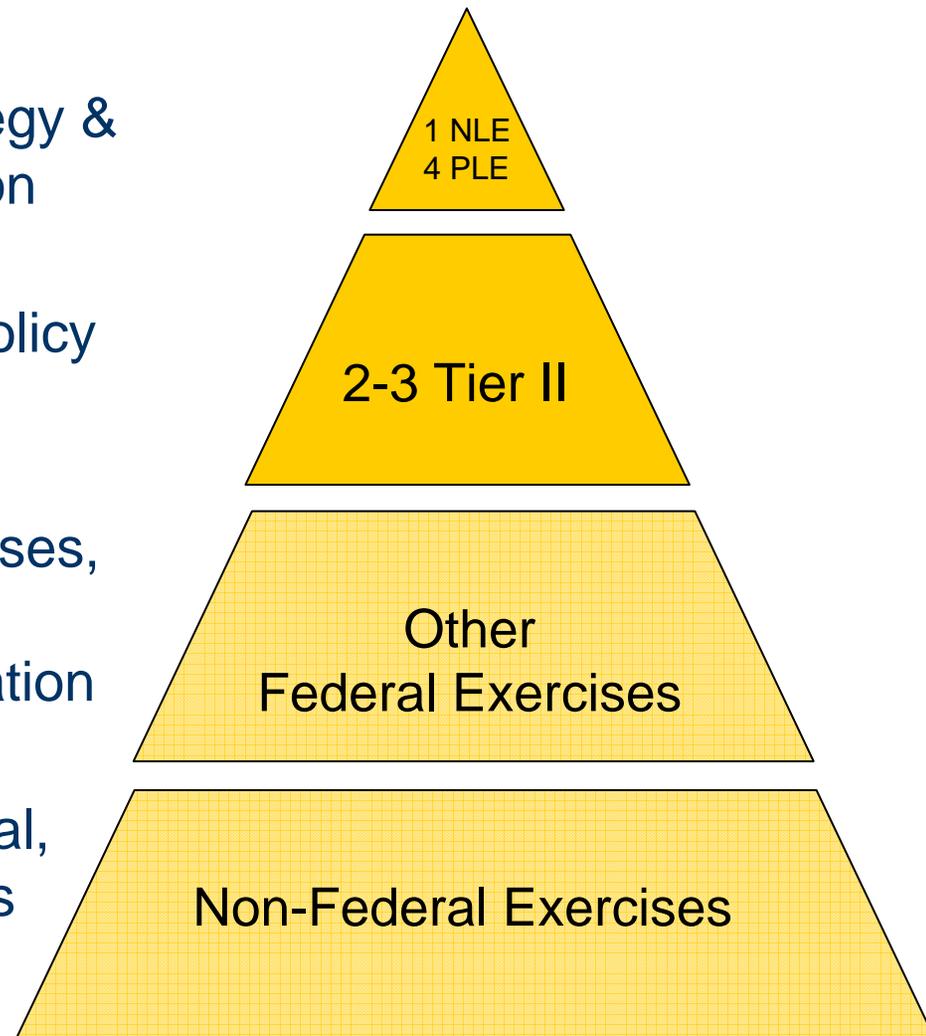
National Exercise Program (NEP)

- Briefed and approved by the Deputies Committee
 - NEP Charter
 - NEP Charter Implementation Plan
- Tier of NEP Exercises
- Interagency Ownership
- Five Year Schedule
- Corrective Action Program (CAP)
- Homeland Security Exercise & Evaluation Program (HSEEP)
- Loose Alignment of Other Exercises.



National Exercise Program Tiers

- Tier I: White House directed, U.S. Government-wide Strategy & Policy Focus, Full Participation
- Tier II: Federal Strategy & Policy Focus, Significant Simulation
- Tier III: Other Federal Exercises, Operational, Tactical or Organizational Focus, Simulation
- Tier IV: State, Territorial, Local, Tribal or Private Sector Focus



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Interagency Ownership

- NLEs and PLEs will reflect USG-wide priorities, not single department or agency programs (individual exercises should be made to fit NLEs, not vice versa)
- Full HSC Plans, Training, Exercises, and Evaluation Policy Coordination Committee (PTEE PCC) recommends priorities/goals/objectives, schedule, and corrective action issues to Deputies
- NEP Executive Steering Committee supports day-to-day coordination and staff work for PTEE PCC
 - DHS (chair), DOD, DOE, HHS, DOJ, DOS, DOT, ODNI, FBI
 - Up to three rotating members (one year term)
 - HSC, NSC, OMB representatives in non-voting oversight capacity



Five Year Exercise Schedule

- Based on strategic direction and policy priorities
- Sets forth goals, themes, and schedule slots for NLEs, PLEs
 - May involve progressive level of detail (implementation plan issue)
 - Requires process for discouraging changes less than two fiscal years out (implementation plan issue)
- Submitted by June 30 each year (in time for budget call)
- Allows for departments and agencies to align other exercises, training activities, and preparatory reviews of policies, plans, and procedures
- Leverages existing interagency exercise conferences of DHS and DOD for development



The Five-Year National Exercise Program Schedule (DRAFT)

FY 07

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
<i>Congressional Elections & Transition</i>				Principal Level Exercise (IED)		Principal Level Exercise (Prep for NLE 2-07) (Nuclear)	NLE 2-07 Positive Response; Ardent Sentry; Northern Edge; Ultimate Caduceus		Principal Level Exercise (Policy Review of Pandemic Influenza Plans)		Principal Level Exercise (Prep for NLE 1-08) (Radiological)

FY 08

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
NLE 1-08 Terrorism TOPOFF 4 FSE; Flexible Response; Global Lightning; CEP; Able Warrior		Principal Level Exercise (Bio)		Principal Level Exercise (MANPADS)		Principal Level Exercise (Prep for NLE 2-08)	NLE 2-08 Natural Hazard Ardent Sentry; Chairman's Exercise Program	Principal Level Exercise (Prep for NSSEs)	NSSE	NSSE	

FY 09

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Principal Level Exercise	<i>Elections</i>	<i>Transition</i> Principal Level Exercise (Prep for NSSE)	<i>Inauguration</i> NSSE	<i>Confirmation</i>	Principal Level Exercise	Terrorism CPX, Training And Orientation for new sub cabinet officials		Principal Level Exercise (Prep for NLE 09)	NLE 09 Terrorism TOPOFF 5 FSE; Pinnacle; Chairman's Exercise Program; Ardent Sentry; Able Warrior; Ultimate Caduceus; (Olympics Prep)		
						<i>Transition Training Program</i>					

FY 10

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Principal Level Exercise		Principal Level Exercise	<i>Olympics in Vancouver</i>			Principal Level Exercise (Prep for NLE 10)	NLE 10 Natural Disaster TOPOFF 6 CPX; Ardent Sentry; Northern Edge; JLOTS CEP; (SONS)		Principal Level Exercise		

FY 11

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
<i>Congressional Elections & Transition</i>				Principal Level Exercise		Principal Level Exercise (Prep for NLE 11)	NLE 11 Terrorism TOPOFF 6 Full Scale Exercise; Ardent Sentry; Chairman's Exercise Program		Principal Level Exercise		

 National Level Exercise

 Principal Level Exercise

 National Special Security Events

Version: 01-19-07

The Five-Year Tier 2 and Tier 3 Exercise Schedule (DRAFT)

FY 07

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
<i>Congressional Elections & Transition</i>				Principal Level Exercise	Positive Force	Principal Level Exercise	NLE 2-07	SONS 07			Principal Level Exercise
	Golden Guardian 06	NLE 1-07		JLOTS	Able Warrior		Pinnacle	Able Warrior			
					Unified Support 07				Principal Level Exercise		
Hurricane Preparedness Exercises											

FY 08

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
NLE 1-08 Terrorism		Vigilant Shield		Principal Level Exercise	Cyber Storm II	Principal Level Exercise	NLE 2-08 Natural Hazard	Principal Level Exercise	NSSE	NSSE	Able Warrior
	Pandemic Influenza Exercises	Principal Level Exercise		NATO CMX	Able Warrior		Pinnacle		Diablo Bravo 2008		
		T4 LSG									

FY 09

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Principal Level Exercise	<i>Elections</i> Vigilant Shield	<i>Transition</i> Global Lightning	<i>Inauguration</i> NSSE	<i>Confirmation</i>	Principal Level Exercise	Terrorism		Principal Level Exercise	NLE 09 Terrorism		Able Warrior
		Principal Level Exercise			Able Warrior						
						Transition Training Program					
Hurricane Preparedness Exercises											

FY 10

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Principal Level Exercise	Vigilant Shield	Global Lightning	<i>Olympics in Vancouver</i>		Able Warrior	Principal Level Exercise	NLE 10 Natural Disaster	SONS 2010	Principal Level Exercise		Able Warrior
		Principal Level Exercise					Pinnacle				
Hurricane Preparedness Exercises											

FY 11

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
<i>Congressional Elections & Transition</i>				Principal Level Exercise	Able Warrior	Principal Level Exercise	NLE 11 Terrorism		Principal Level Exercise		Able Warrior
Global Lightning	Vigilant Shield	African FCM									
	Principal Level Exercise		Governor / House of Reps. Transition Training Program								
Hurricane Preparedness Exercises											

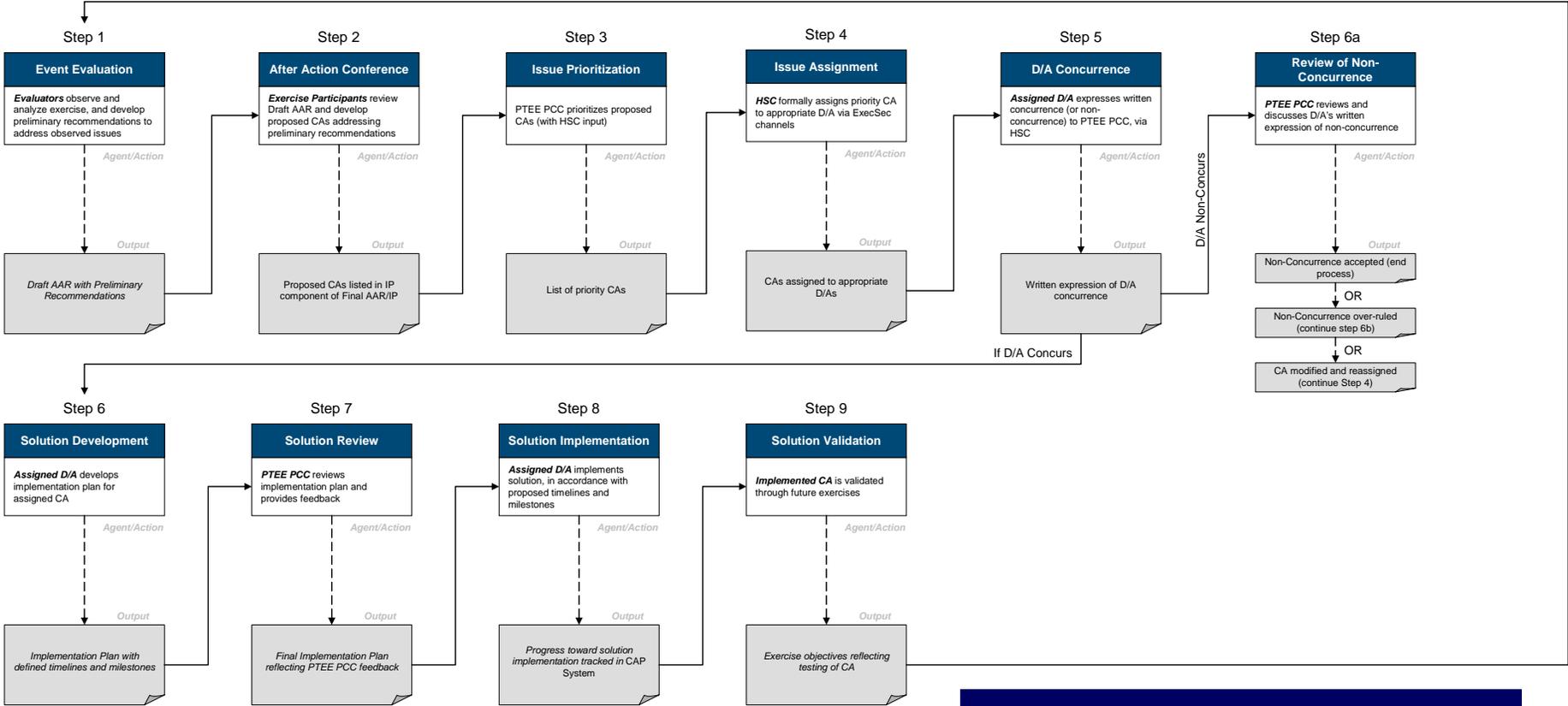
Tier 1
 Tier 2
 Tier 3
 Principal Level Exercise
 National Special Security Events

Corrective Action Program (CAP)

- CAP provides the basis for systematically developing, prioritizing, and tracking corrective actions following exercises, real-world events, and policy discussions
- The Web-based *CAP System* supports the CAP by enabling assignment and automated tracking of corrective actions
- The CAP methodology provides a disciplined process for Interagency-level corrective action implementation
- Validated corrective actions become lessons learned for a broader audience
 - Shared on *Lessons Learned Information Sharing* (www.LLIS.gov)
- The CAP process applies to exercise issues which:
 - Arise from National-Level Exercises; or
 - Require Interagency coordination



Interagency CAP Process Flow



Key Acronyms

- After-Action Report (AAR)
- Improvement Plan (IP)
- Corrective Action (CA)
- Department/Agency (D/A)
- Homeland Security Council (HSC)
- Plans, Training, Exercises and Evaluations Policy Coordination Committee (PTEE PCC)
- Executive Secretary (Exec Sec)

Process currently being finalized for Interagency review



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The *Corrective Action Program (CAP) System*

The *CAP System* is a web-based application that enables Federal, State, and local emergency response and homeland security officials to develop, prioritize, track, and analyze *improvement plan* items developed from exercises and real-world events.

- Developed by the Department of Homeland Security's (DHS) Homeland Security Exercise and Evaluation Program (HSEEP)
- Used by Federal Interagency partners and State, local, and tribal area emergency response and homeland security communities
- The *CAP System* was made available to the stakeholder community in November 2006

Corrective Action Program (CAP) System

The *Corrective Action Program (CAP) System* is a web-based application that allows Federal, State, and local emergency response and homeland security officials to track and analyze Improvement Plans. The National Preparedness Task Force (NPTF) in the Department of Homeland Security's Preparedness Directorate is designing and developing this system as part of a larger, division-wide effort to systematically translate National Exercise Program (NEP) outputs—including findings, identified problems, recommendations, lessons learned, and best practices—into meaningful inputs for homeland security plans, programs, and budgets.

If you are a first time user, please visit the [About](#) page to learn more about the system.

For access to the *CAP System*, please proceed to the registration process.

USER LOGIN

Username

Password

Register for account

Contact us at 877-612-HELP (4357) or send an email to support@hseep.net

ATTENTION: Unauthorized use of this system is prohibited. US Government computer systems may be monitored for all lawful purposes, including to ensure that their use is authorized, for management of the system, to facilitate protection against unauthorized access, and to verify security procedures, survivability, and operational security. Monitoring includes active attacks by authorized US Government entities to test or verify the security of this system. Use of this computer system, authorized or unauthorized, constitutes consent to monitoring of this system. Unauthorized use may subject you to criminal prosecution. Evidence of unauthorized use collected during monitoring may be used for administrative, criminal, or other adverse action. Use of this system constitutes consent to monitoring for these purposes. Use of this system implies understanding of these terms and conditions.

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“Soft Alignment” of Other Exercises

- *“The NEP shall not replace existing Department and Agency exercise programs. Rather, the NEP shall address directed policy priorities and [...] may incorporate Department- and Agency-specific exercises as needed.”*
- Other “unincorporated” exercises are not addressed in detail; they *can be*
 - Aligned to NEP priorities, as potential building blocks for outyear exercises OR
 - Used to address issues specific to individual Departments and Agencies
- Requirements for NEP should lead to supporting processes at individual Departments and Agencies, where they do not exist:
 - Prioritization of goals, objectives, and exercise proposals for submission
 - Internal corrective action program process





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